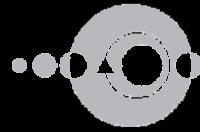




ZRC SAZU



••••• SPACE>SI

# Airborne lidar data management and homogenization

Žiga Kokalj<sup>1,2</sup> and Benjamin Štular<sup>1</sup>  
with  
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<sup>1</sup>ZRC SAZU

<sup>2</sup>SPACE-SI

<sup>3</sup>UFC

- 1. Data, Quality control and Metadata**  
presentation & practice
- 2. Rasterizing – making useful images**  
presentation & practice
- 3. Data homogenisation (multi-temporal data)**  
presentation & practice
- 4. Data Archiving**  
presentation & practice
- 5. Wrap up and Take-home-message**

# 1. Data, Quality control and Metadata

presentation & practice

# Discovery, management, analyses

- Why is it there?
- What is it?
- Is there anything beside it?
- Is there anything out there?



complexity

# **Basics about lidar data**

file formats, file compression, spatial indexing, quality control

# What types of data can you get?

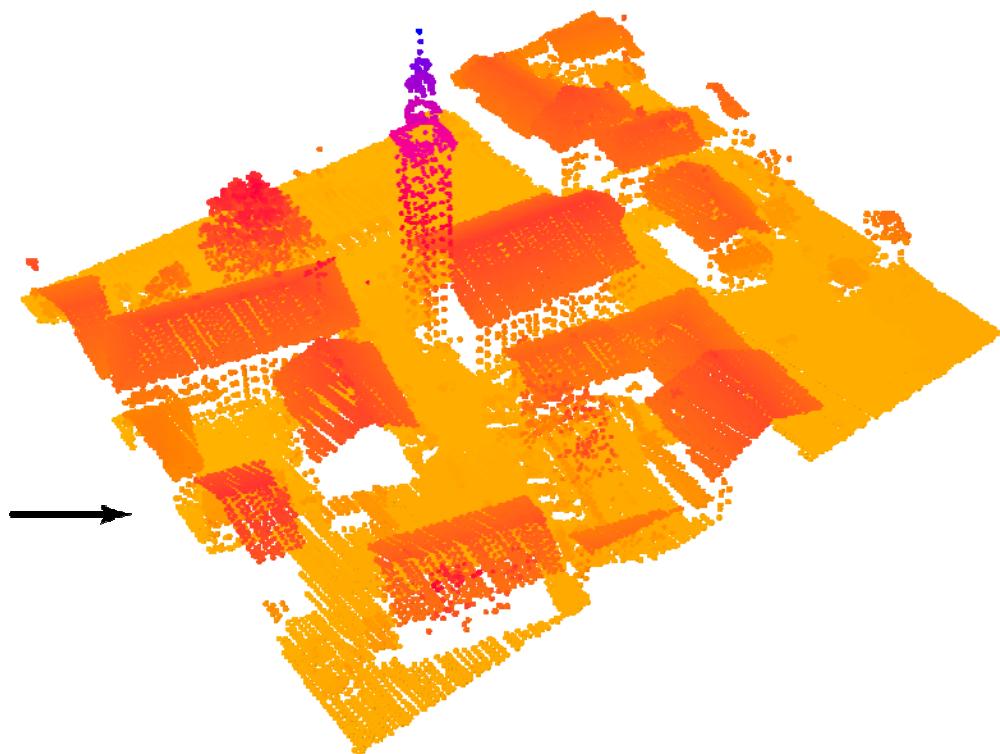
- research data (hi-res, small areas)
- nation wide ALS surveys (low-res, huge areas)
- „scraps“ (commonly data collected for engineering projects, low-res, small and awkward areas)
- other?

## Critical questions

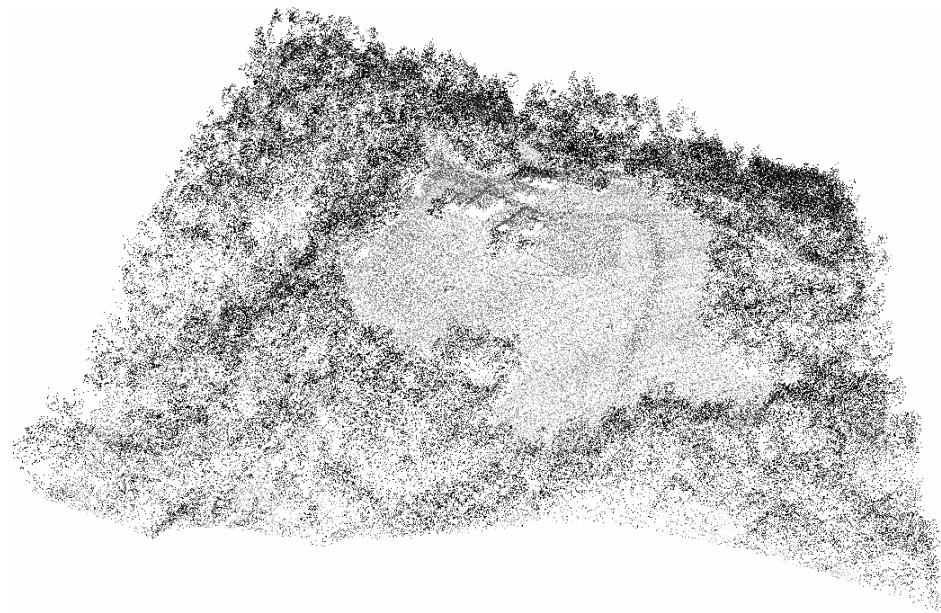
- What was the original application for which this data was collected?
- What is the quality of the original data?
- How much post-processing has been done?
- Is this data suited to my project?

# Lidar data

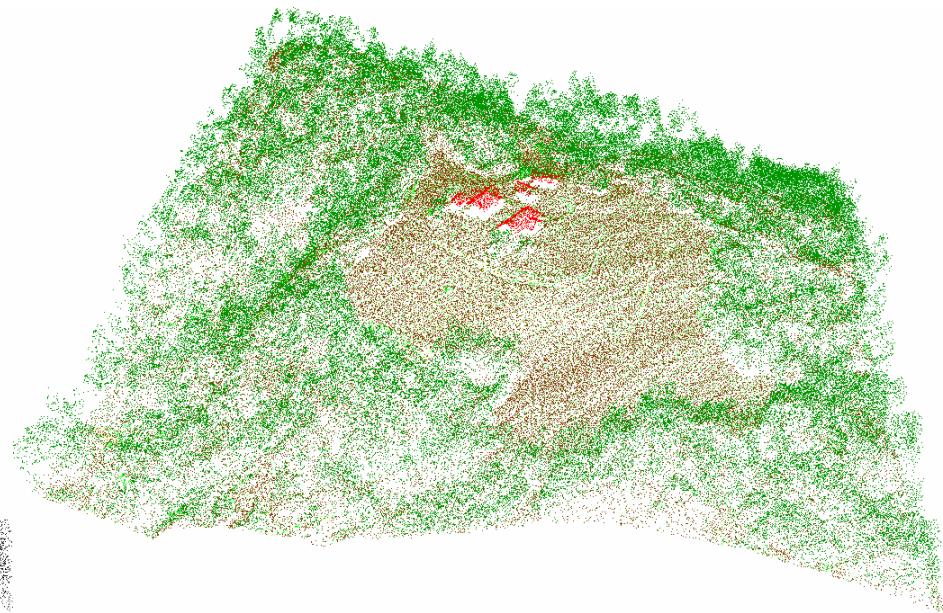
X	Y	Z	Intensity	echo no.
389838,44	126050,22	476,88	11	1
389838,45	126049,95	452,19	17	2
389838,49	126051,21	452,15	18	3
389838,32	126054,99	468,47	10	1
389838,31	126055,10	469,56	14	1
389838,34	126055,85	469,25	19	1
389838,44	126050,13	452,22	13	3
389838,48	126051,39	452,18	40	3
389838,30	126055,27	469,62	11	1
389838,35	126056,63	469,34	14	1
389837,72	126049,74	452,45	55	3
389837,74	126050,35	452,47	22	2
389837,77	126051,04	452,30	27	2
389837,79	126051,65	452,33	28	2
389837,81	126052,32	452,22	52	3
389837,84	126052,98	452,14	26	3
389837,86	126053,62	452,09	41	2
389837,88	126054,24	452,10	45	2
389837,91	126054,90	452,03	26	3
389837,93	126055,54	452,00	25	3
389837,96	126056,22	451,88	23	3
389837,98	126056,85	451,86	24	3
389838,04	126058,63	452,05	18	3
389838,06	126059,22	452,14	31	2
...	...	...	...	...



# Point clouds

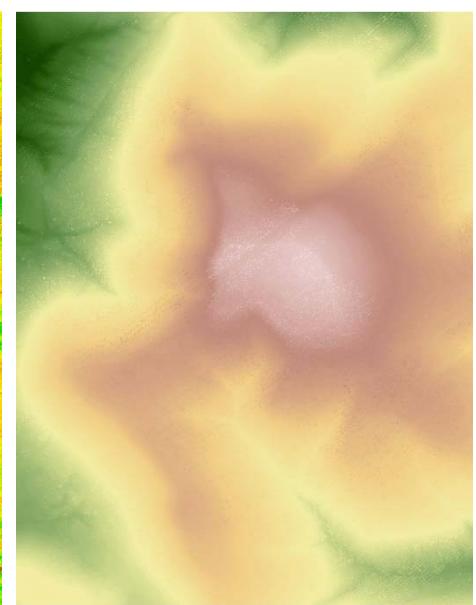
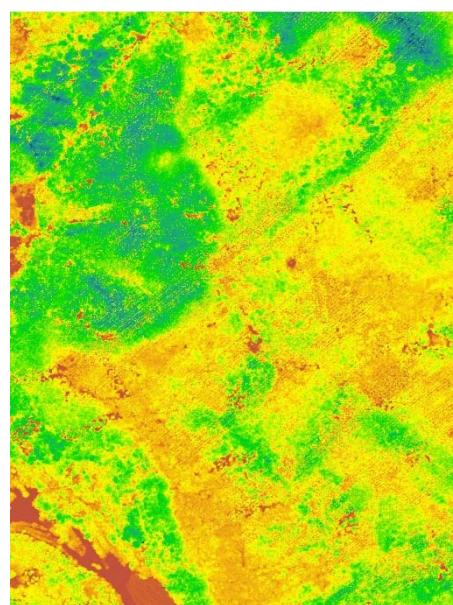
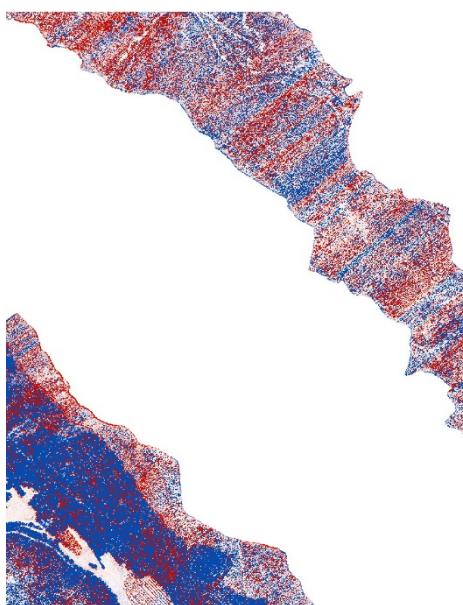
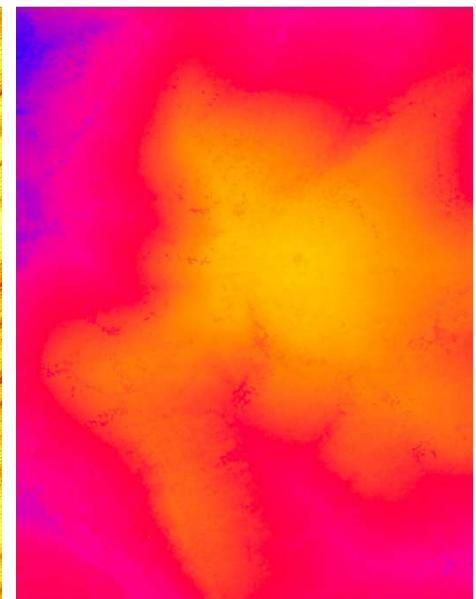
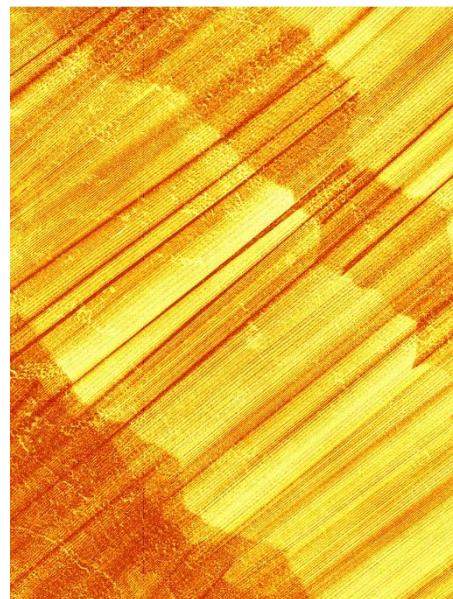
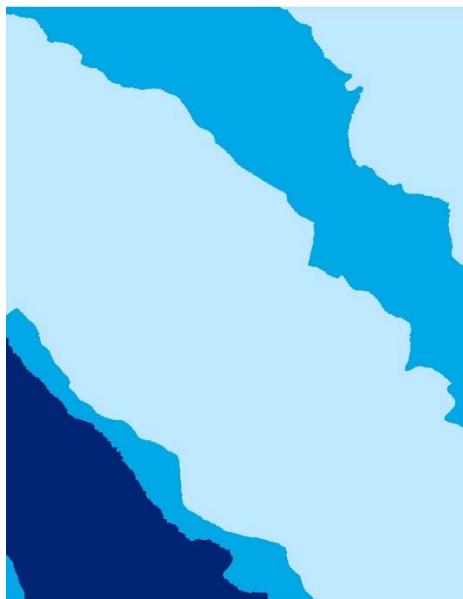


point cloud



classified point cloud

# Different representations of data



# ASCII

X,Y,Z	ncols 350
472302.5,117449.5,497.20	nrows 300
472303.5,117449.5,497.29	xllcorner 472300.000000
472304.5,117449.5,497.40	yllcorner 117150.000000
472305.5,117449.5,497.57	cellsize 1.000000
472306.5,117449.5,497.74	NODATA_value -9999.0
472307.5,117449.5,497.88	497.56 497.25 497.20 497.29 497.40 497.57 497.74 497.88
472308.5,117449.5,497.93	497.93 497.85 497.97 498.09 498.13 498.06 498.22 498.13
472309.5,117449.5,497.85	498.00 497.93 497.91 497.91 497.94 497.90 497.82 497.67
472310.5,117449.5,497.97	497.69 497.78 497.74 497.74 497.60 497.68 497.66 497.58
472311.5,117449.5,498.09	497.54 497.26 497.08 496.98 496.97 496.94 496.91 496.67
472312.5,117449.5,498.13	496.63 496.60 496.64 496.64 496.28 496.14 496.00 495.99
472313.5,117449.5,498.06	495.98 495.86 495.73 495.49 495.25 495.05 494.88 494.69
472314.5,117449.5,498.22	494.22 494.00 493.83 493.65 493.51 493.31 493.13 492.86
472315.5,117449.5,498.13	492.55 492.28

# Data formats and sizes

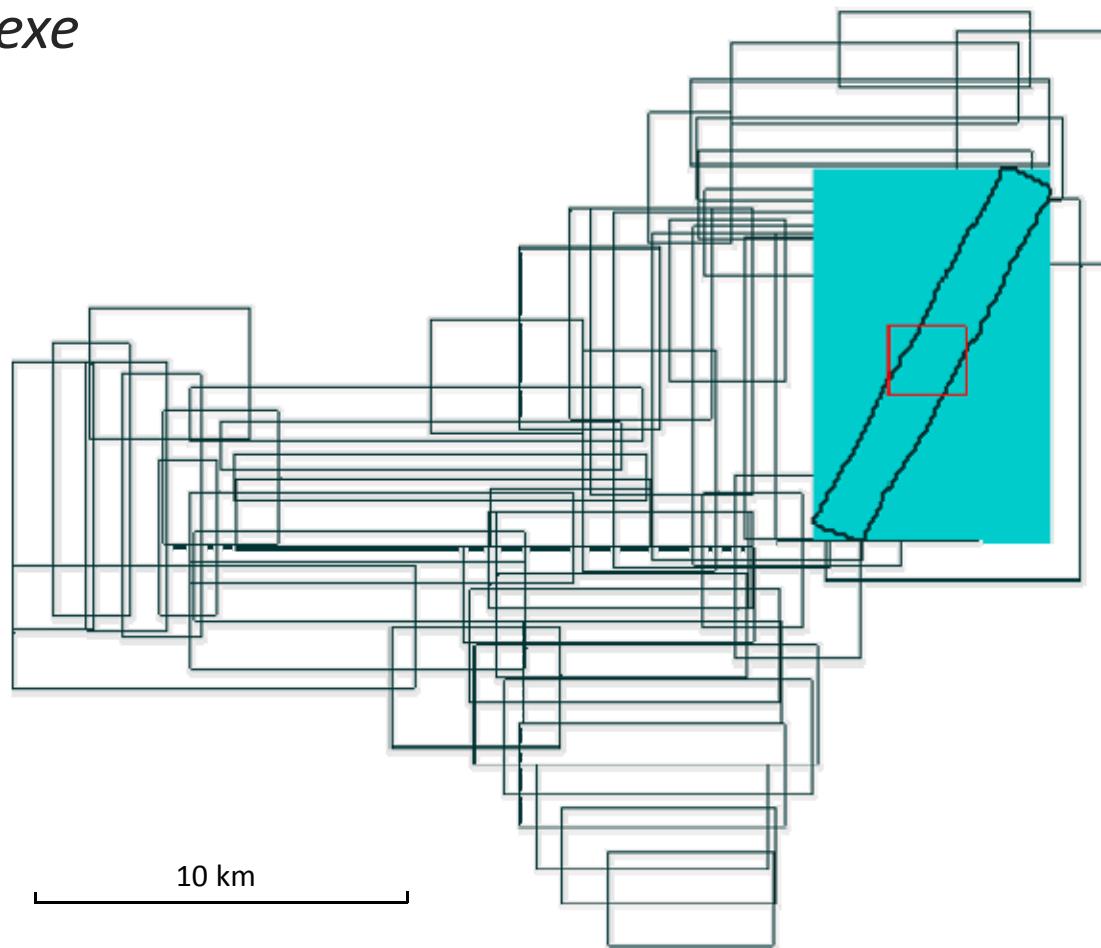
		kB
the same information	<ul style="list-style-type: none"><li>lidar_data.txt</li><li>lidar_data.las</li><li>lidar_data.zlas</li><li>lidar_data.laz</li></ul>	74.400 33.500 8.100 7.400
the same information	<ul style="list-style-type: none"><li>lidar_data.xyz</li><li>lidar_data.asc</li><li>lidar_data.tif</li><li>lidar_data_HS.tif</li></ul>	2.700 700 400 100

# Compression of lidar data

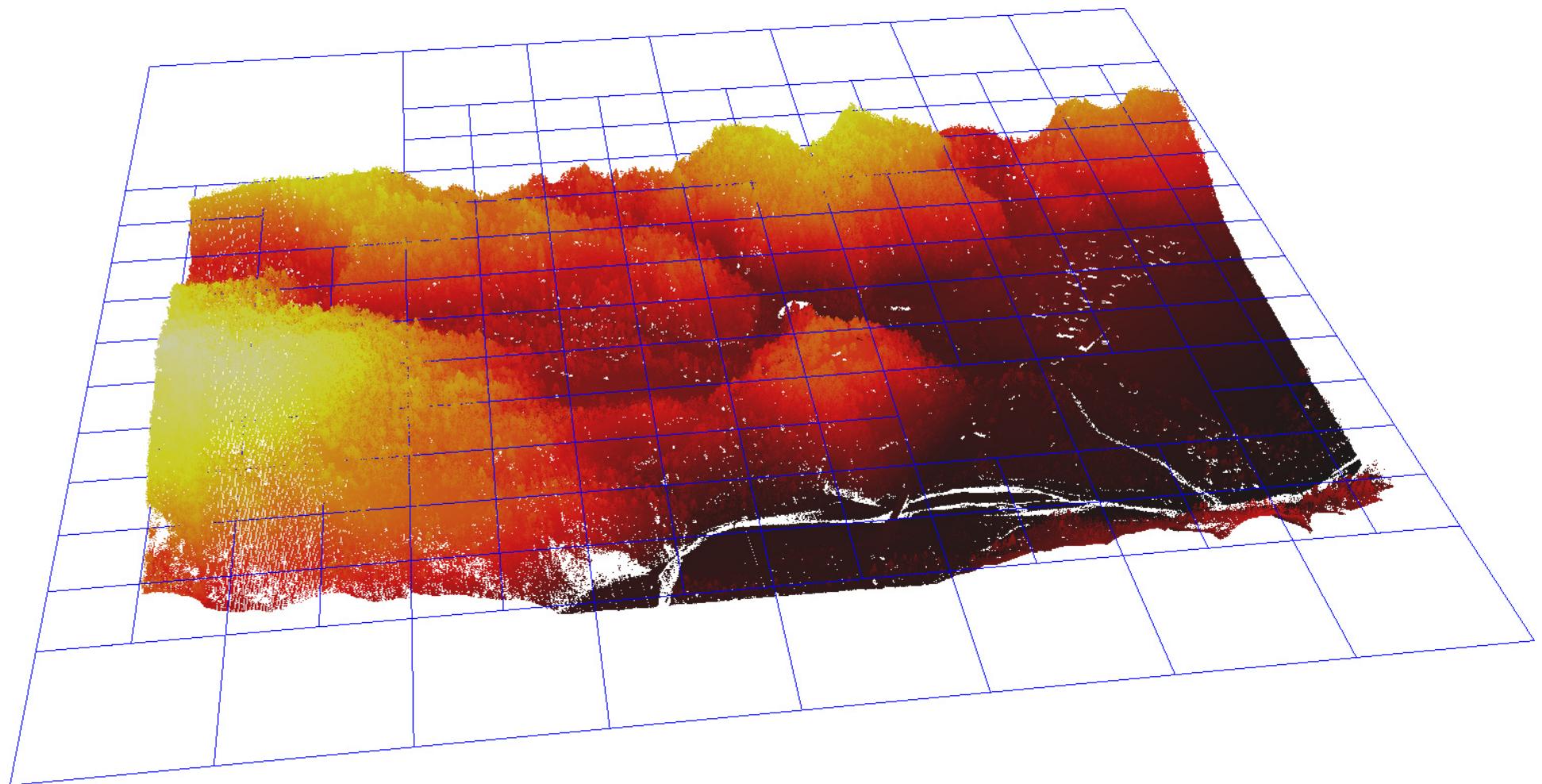
- *laszip.exe*
- LAZ is lossless compressed LAS
  - ≈ 9 % of original file size
- fastest encoding / decoding
- highest compression
- integrates with LAX spatial indexing
- open source --> free

# Spatial indexing

- *lasindex.exe*



# Spatial indexing



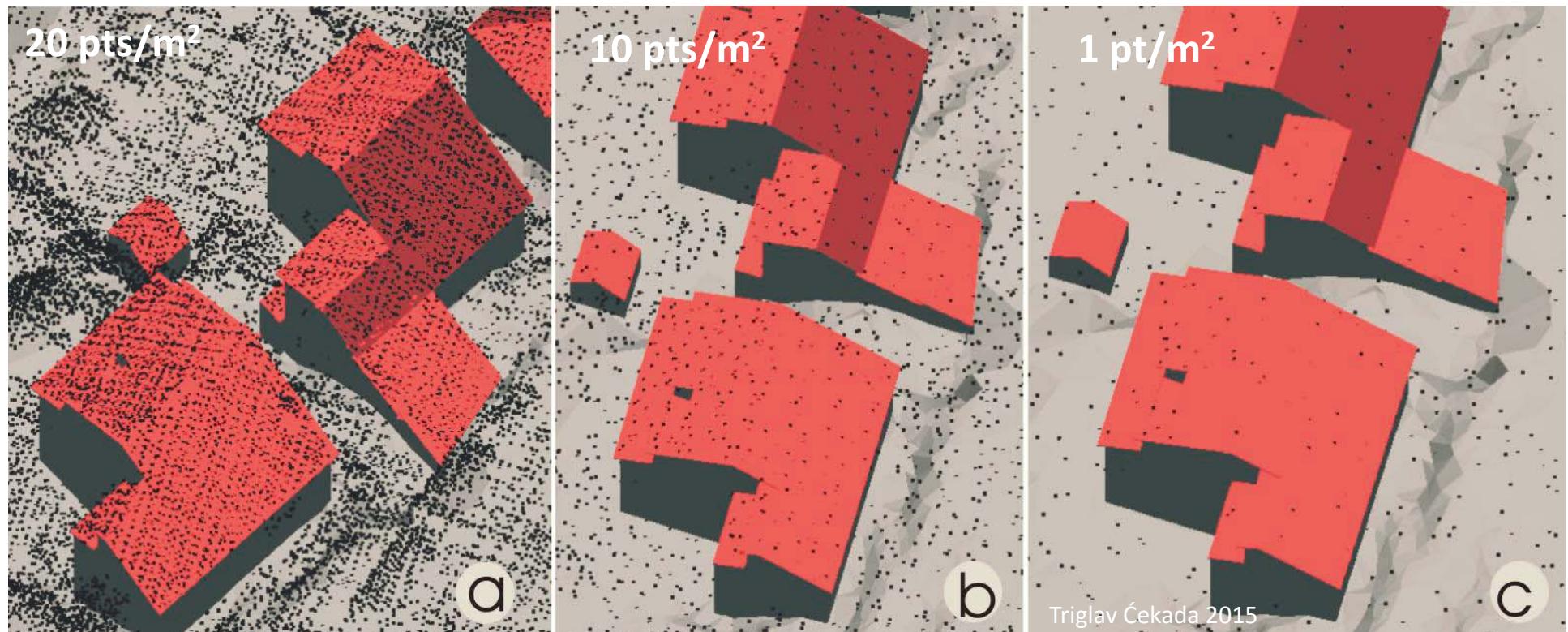
# **Density and accuracy of laser points**

# Accuracy

- the biggest influence:
  - accuracy of IMU (rotational angles of the platform)
  - accuracy of GNSS
  - accuracy of laser system
  - flying height
  - maximum scanning angle
- vertical accuracy 10-15 cm
- planimetric accuracy 30 cm

# Point density

- ~~500 pts/m<sup>2</sup> / 2000 points/dm<sup>2</sup> – high point density:~~
  - general DEM analysis, roughholebounaries
  - Detailed topographic, geomorphological analyses
  - topographic mapping at scales 1:10,000 or 1:5,000



# Lidar density

- density of what?
  - points/m<sup>2</sup>
    - first returns
    - last returns
    - only returns
    - per flightline/combined
  - ground points/m<sup>2</sup>
  - pulses/m<sup>2</sup>

# Metadata

# Metadata

Metadata\* is essential in order to maintain the scientific integrity of the entire process.

HOW, WHEN and WHY was the lidar data collected?  
WHAT, HOW and WHY did we process?

\*data that provides information about other data

# Metadata – Data Collection

- lidar sensor, IMU and GNSS make and model,
- nominal scanning density,
- nominal swath overlap,
- flight height,
- date of data collection and
- purpose of data collection.

RIEGL LMS-Q780 laser scanner, IGI Aerocontrol Mark II.E 256Hz inertial navigation system (IMU) and Novatel OEMV-3 GNSS have been used.

Nominal scanning density 5 pulses/m<sup>2</sup>, nominal swath overlap unknown (scanning angle ±30°).

Flight height 1200 do 1400 m above surface.

Date of data collection 14., 23. and 24. February 2014.

Data was collected as a part of the nation wide general purpose scanning.

# Metadata – Raw data processing

- always implemented by the data collection provider,
- often black-boxed,
- if you can access the metadata, record it
- if not, then not ☹
- it would only become very important if raw data was to be reprocessed

Flight trajectory was calculated with GrafNav v8.50 using the dGPS method.

GNSS and IMU data were merged with AeroOffice v5.1f.

TerraMatch was used for line matching.

Raw data have been processed and exported to LAS 1.2 with RiPROCESS v1.5.9 (modules RiANALYZE v6.0.2 and RiWORLD v4.5.8) in D96/TM coordinate system with ellipsoid heights.

# Metadata – Post-processing

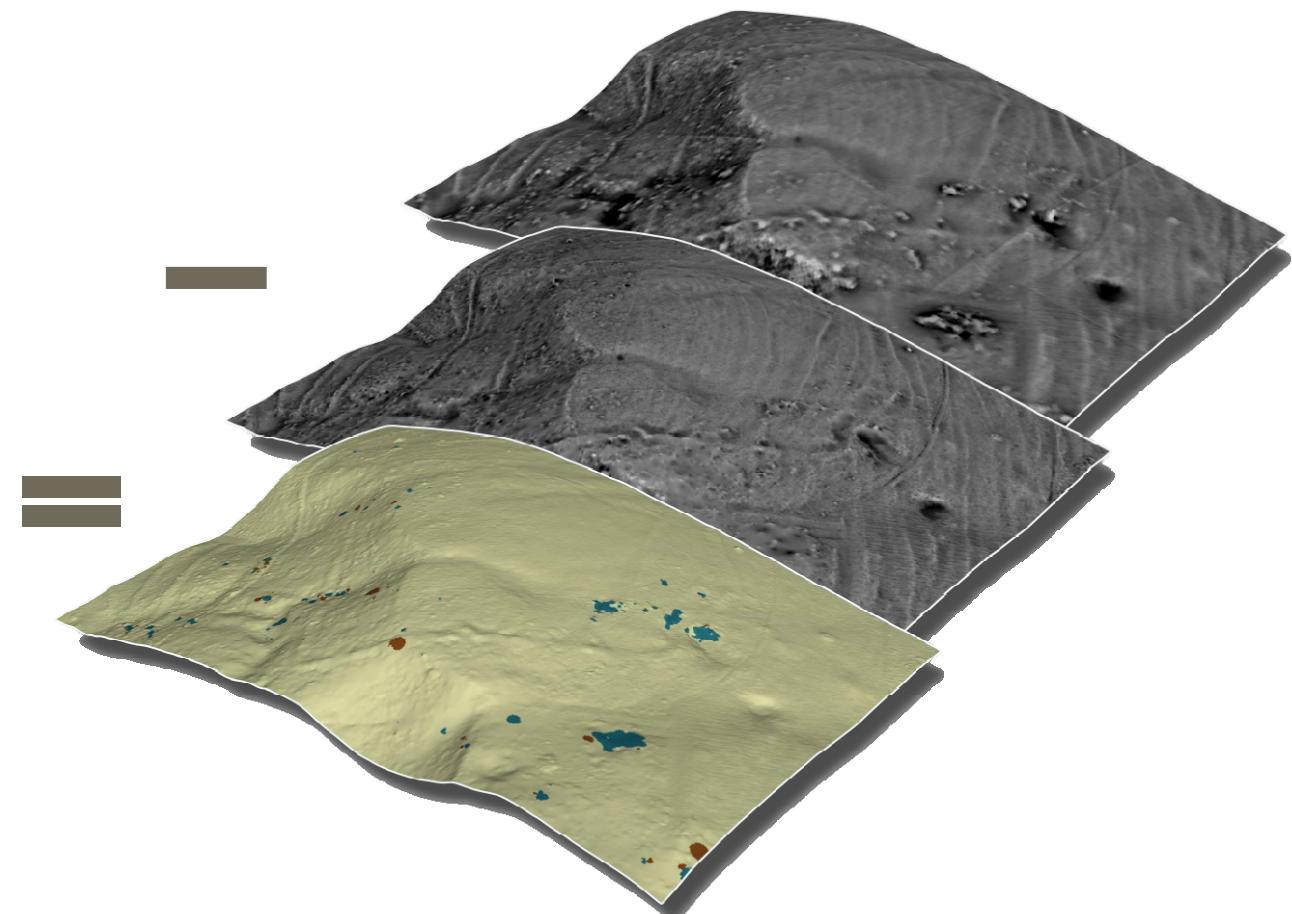
- description of the processing goal (e.g. production of a terrain model, removing just the vegetation),
- method(s),
- software incl. parameter settings,
- DEM/DSM resolution.

Point cloud has been filtered for obtaining the ground surface suitable for archaeological interpretation (for method see Štular, Ložić 2016). Ground points have been detected using LAStools lasground module (settings: terrain type – forest or hills, granularity - ultra fine; ignore points with classification 7). 0,5 m DEM was interpolated with ordinary kriging in Surfer v.11 (settings: No. of sectors to search – 4, maximum No. of data from all sectors 64, maximum No. of data from each sector 16, maximum No. of data in all sectors – 8, blank node if more than 3 sectors are empty, radius 20 m).

(Data source: web service eVode at <http://evode.ars.si/indexd022.html?q=node/12>, GKOT D48 files GK389\_132, GK389\_133, GK390\_132 and GK390\_133.

# Metadata – Post-processing

- Manual interventions can be documented with difference map

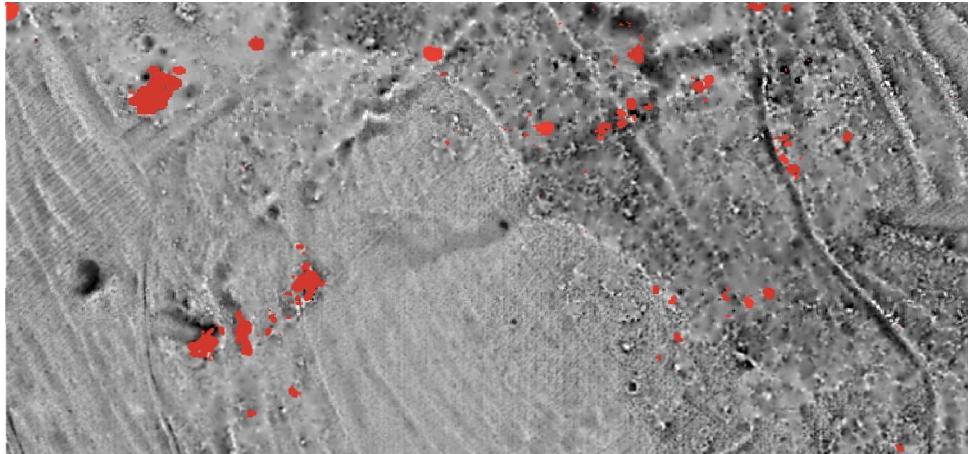


# Metadata – DEM/DSM visualization

- description of the processing goal (e.g. multi-purpose visualization, on-screen archaeological interpretation, print publication),
- method(s),
- software incl. parameter settings

For printed publication complex multiple visualization merging RVT software derived visualizations sky-view factor and openes (settings for both: No. of search directions 16, radius 10 pixels, low level of noise removal) and WhiteboxGIS software derived deviation from mean elevation (settings: radius 7 cells) has been implemeted.

# Metadata for scientific publication



RIEGL LMS-Q780 laser scanner, IGI Aerocontrol Mark II.E 256Hz inertial navigation system (INS) and Novatel OEMV-3 GNSS have been used. Nominal scanning density 5 pulses/m<sup>2</sup>, nominal swath overlap unknown (scanning angle  $\pm 30^\circ$ ). Flight height 1200 do 1400 m above surface. Date of data collection 14., 23. and 24. February 2014. Data was collected as a part of the nation wide general purpose scanning.

Flight trajectory was calculated with GrafNav v8.50 using the dGPS method. GNSS and INS data were merged with AeroOffice v5.1f. TerraMatch was used for line matching. Raw data have been processed and exported to LAS 1.2 with RiPROCESS v1.5.9 (modules RiANALYZE v6.0.2 and RiWORLD v4.5.8) in D96/TM coordinate system with ellipsoid heights.

Point cloud has been filtered for obtaining the ground surface suitable for archaeological interpretation (for method see Štular, Ložić 2016). Ground points have been detected using LAStools lasground module (settings: terrain type – forest or hills, granularity - ultra fine; ignore points with classification 7). 0,5 m DEM was interpolated with ordinary kriging in Surfer v.11 (settings: No. of sectors to search – 4, maximum No. of data from all sectors 64, maximum No. of data from each sector 16, maximum No. of data in all sectors – 8, blank node if more than 3 sectors are empty, radius 20 m).

(Data source: web service eVode at <http://evode.ars.si/indexd022.html?q=node/12>, GKOT D48 files GK389\_132, GK389\_133, GK390\_132 and GK390\_133.

For printed publication complex multiple visualization merging RVT software derived visualizations sky-view factor and openes (settings for both: No. of search directions 16, radius 10 pixels, low level of noise removal) and WhiteboxGIS software derived deviation from mean elevation (settings: radius 7 cells) has been implemeted.

# Metadata for scientific publication



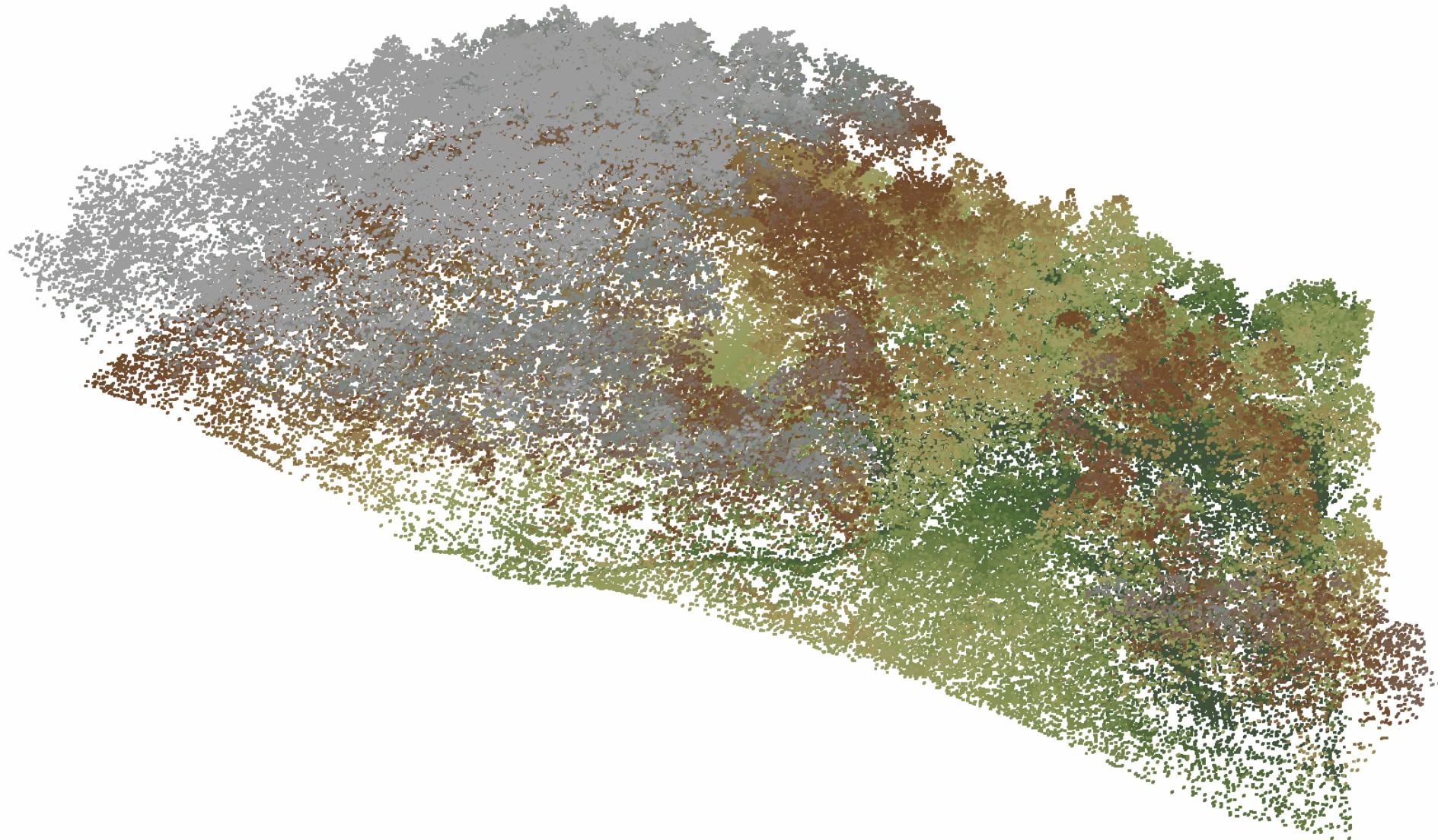
**WRONG!**



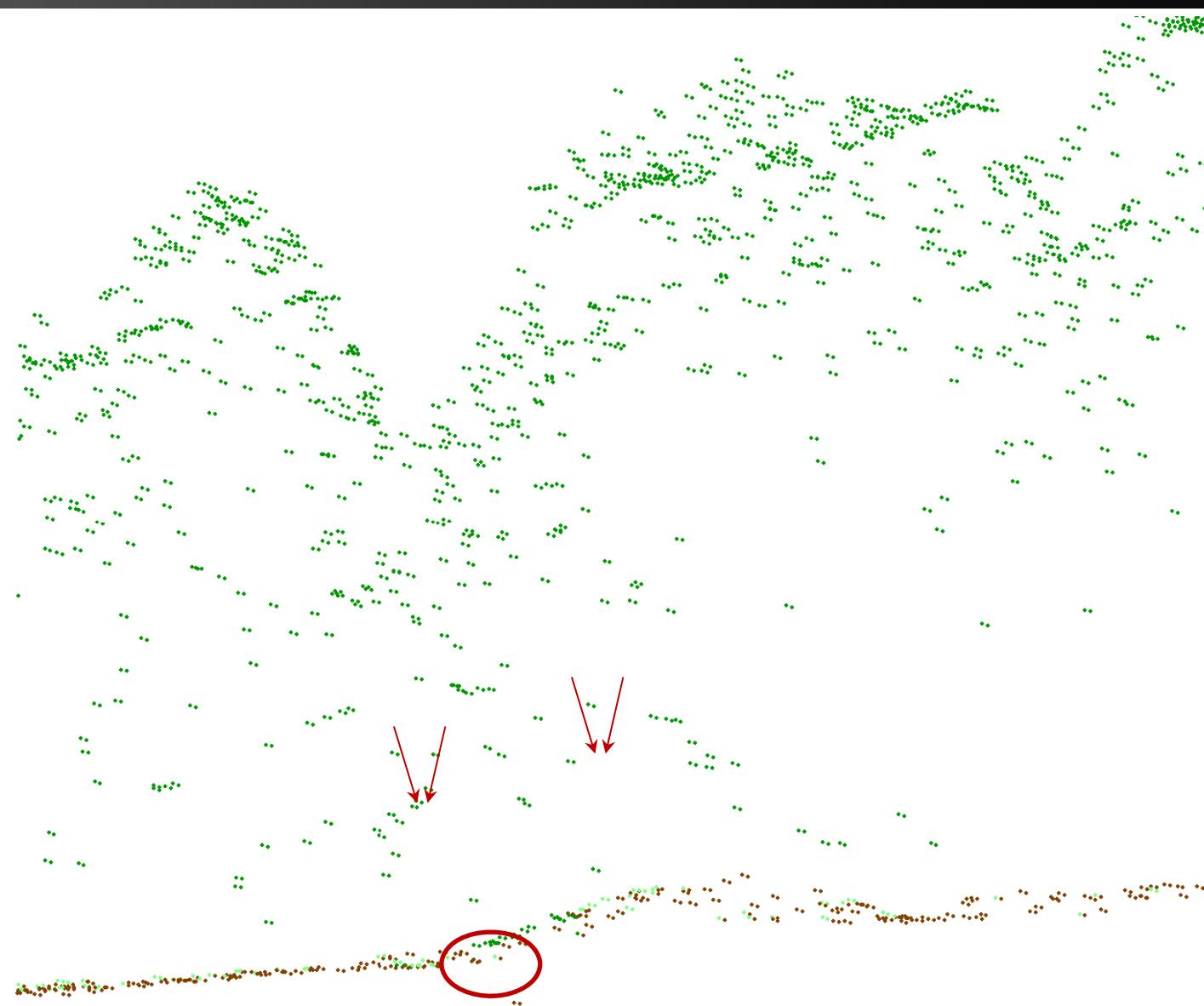
Lidar image.

# Visual quality control

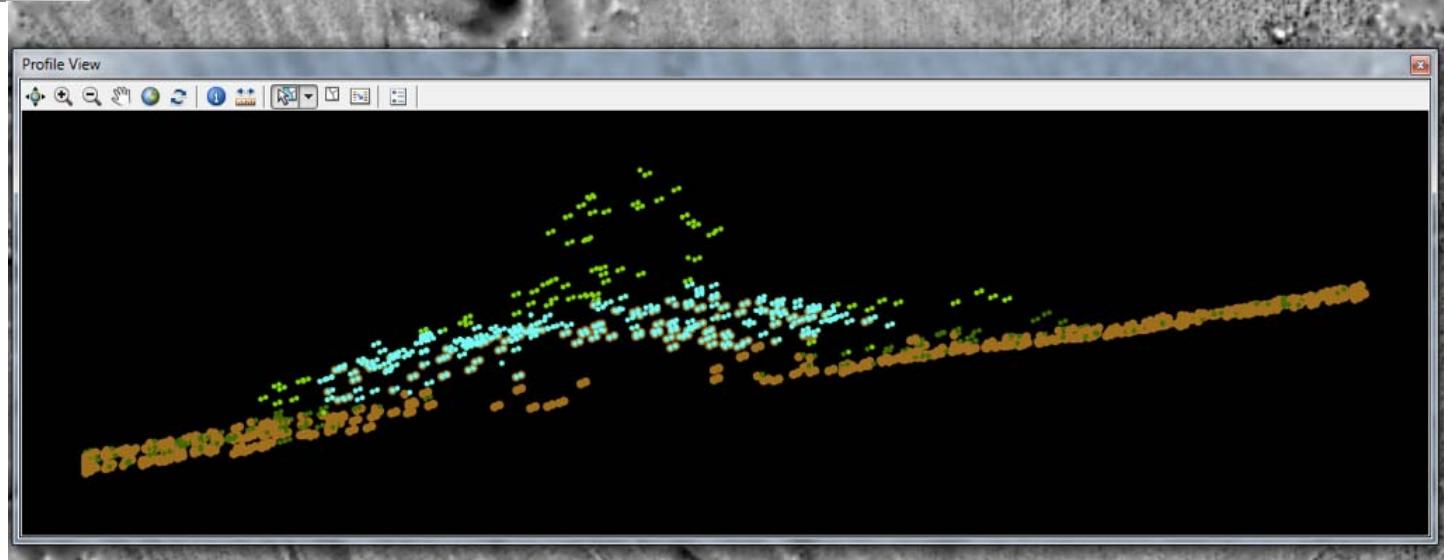
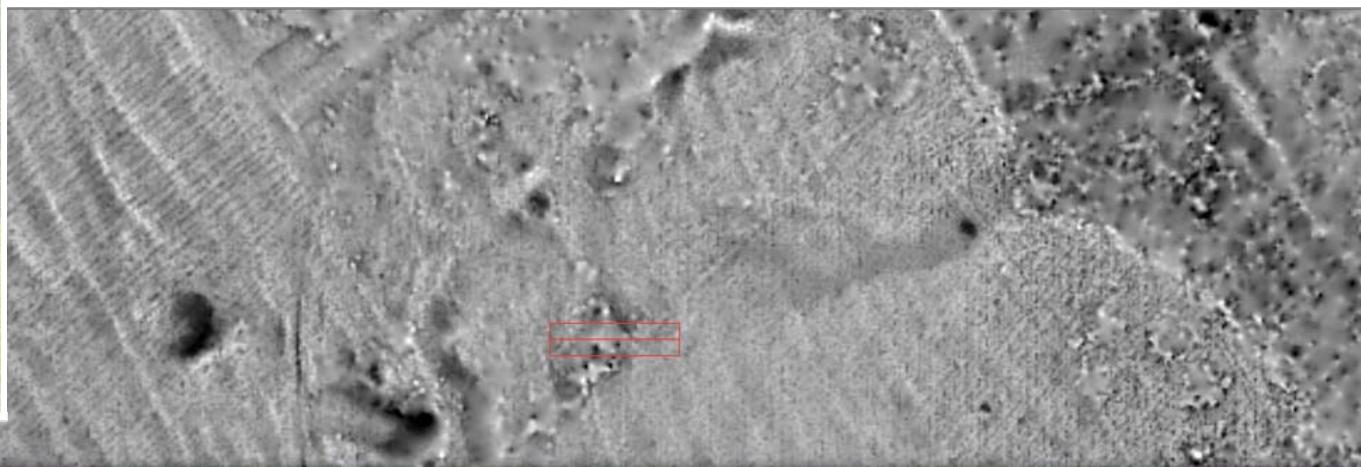
# Visual quality control



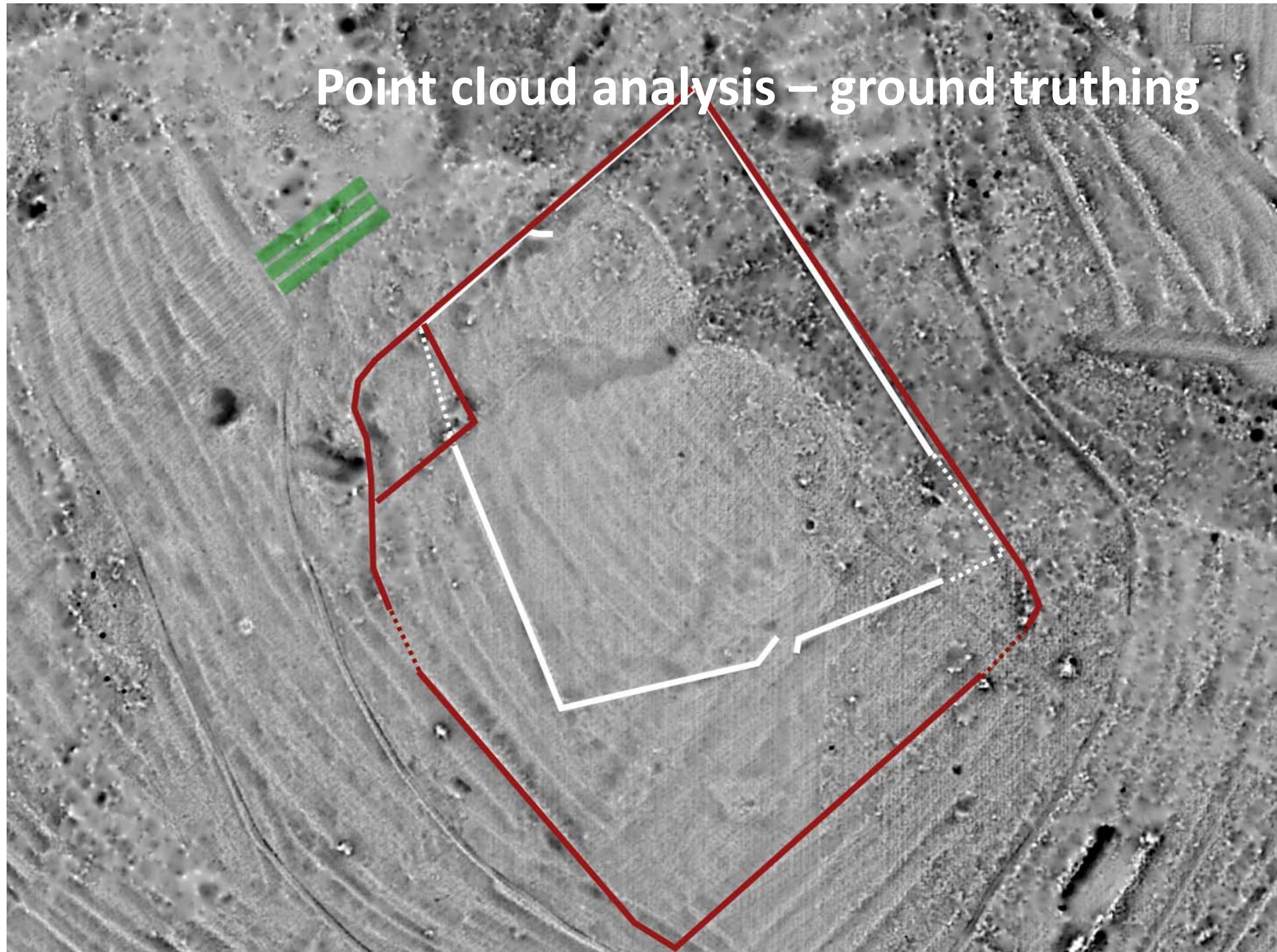
# Point cloud analysis



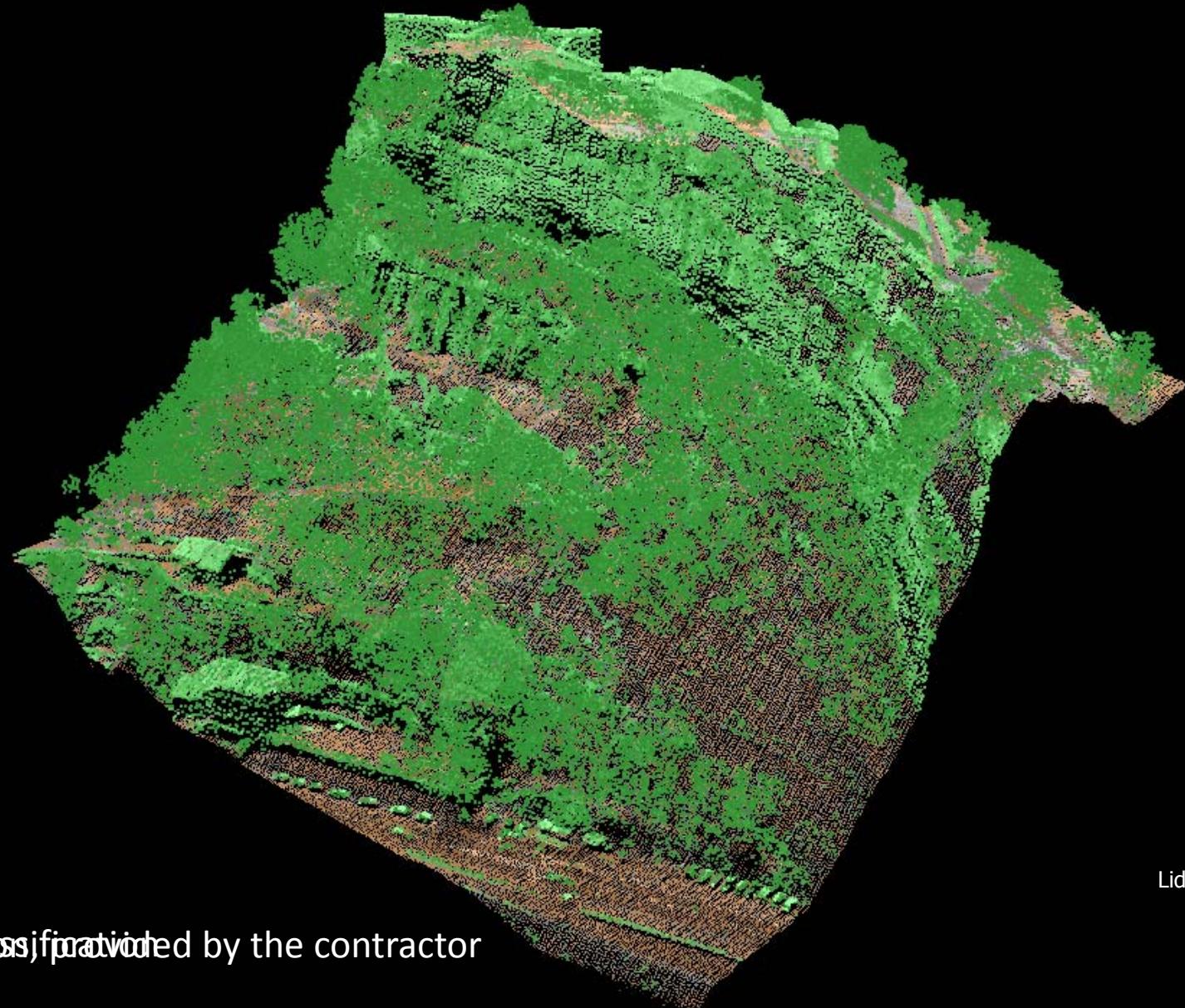
# Point cloud analysis – ground truthing



# Point cloud analysis – ground truthing



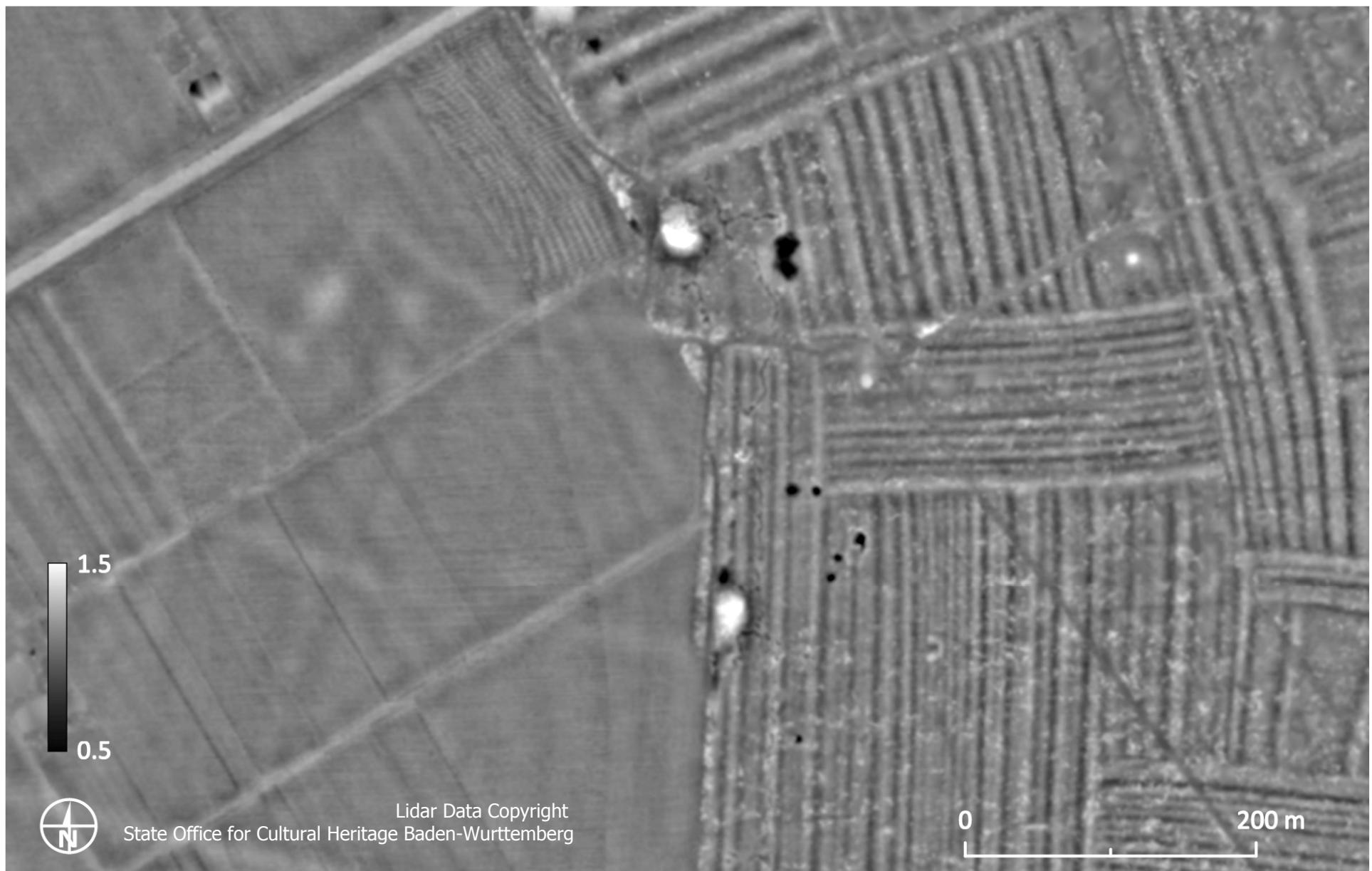
# Observation of geological structures



classification information provided by the contractor

Lidar Data Copyright  
UFC

# Field system



# **Data processing and visualization tools**

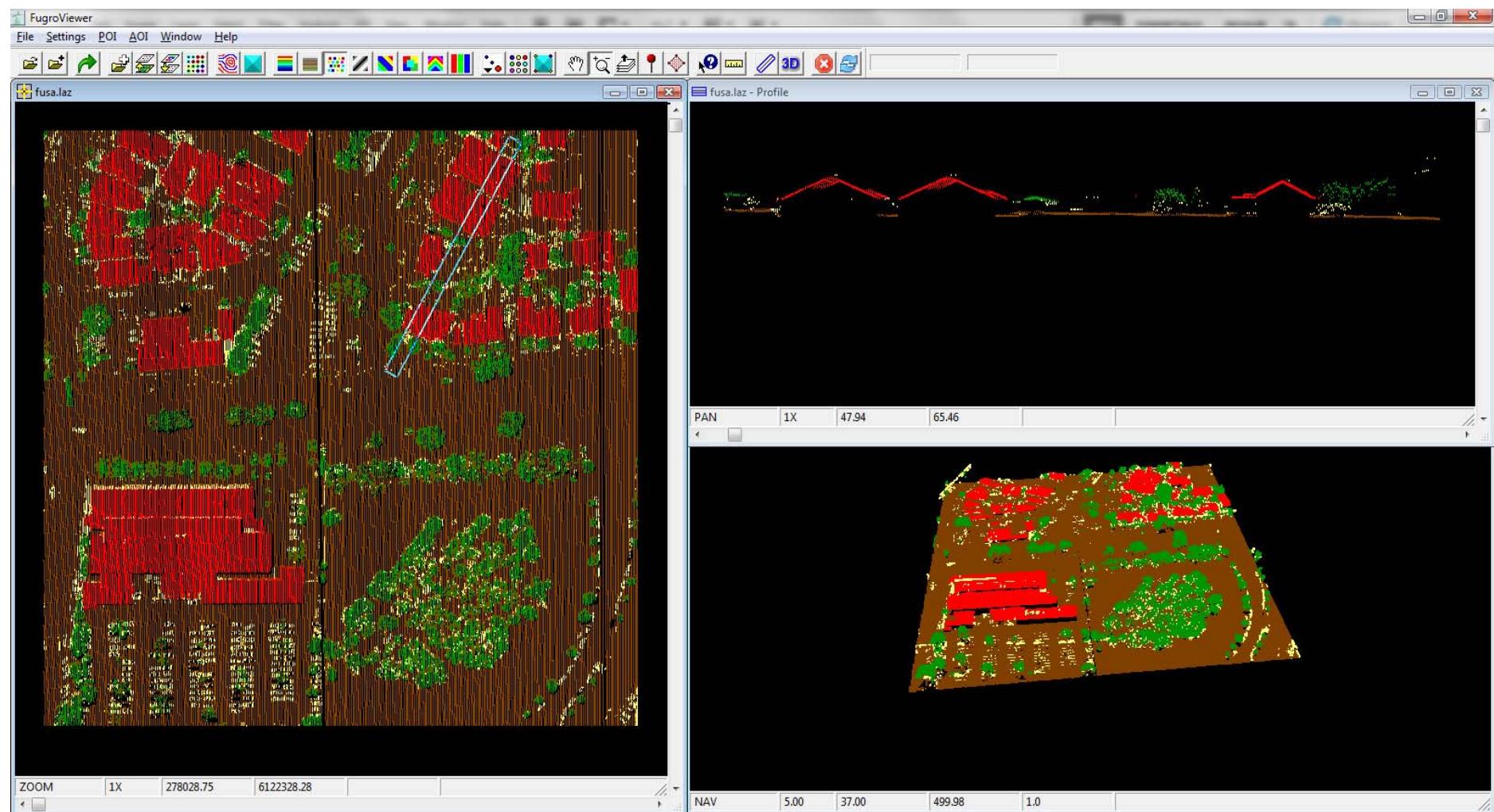
# Data processing

- LASTools (Rapidlasso)
- OPALS (TU Vien)
- TerraScan in TerraModeller (Terrasolid)
- FUSION (USFS)
- PointVue LE (GeoCue)
- MARS FreeView (Merrick)
- gLidar, FERI Maribor
- GRASS
- ALDPAT
- MCC
- ...

# Point cloud viewing

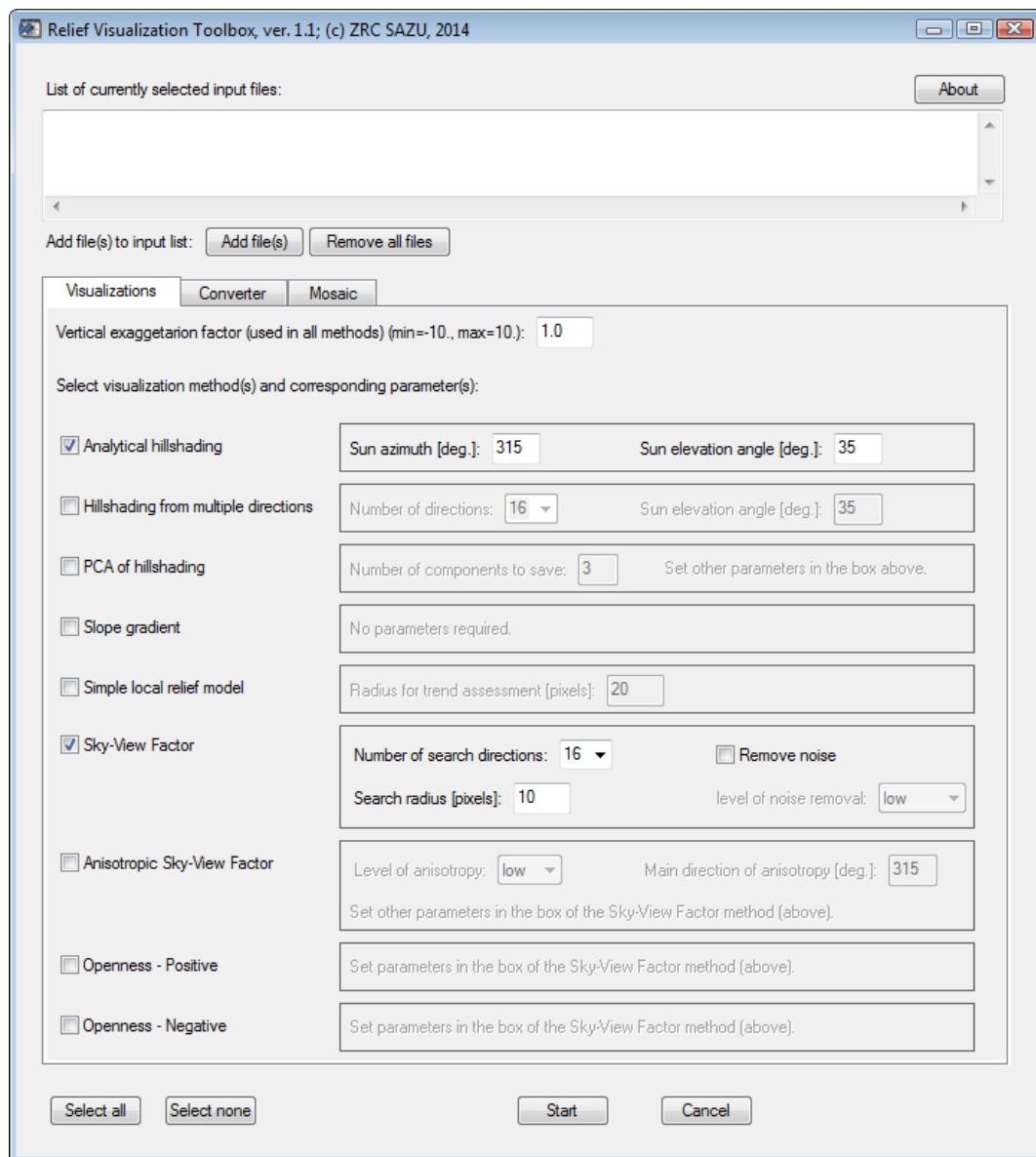
- FugroViewer, Fugro
- LasView, LASTools, Rapidlasso
- FUSION
- ...

# FugroViewer



<http://www.fugroviewer.com/request/default.asp>

# Relief Visualization Toolbox (RVT)



*iaps.zrc-sazu.si/en/rvt*

- computation of elevation data visualizations
- free
- standalone
- very fast

## Main characteristics

- narrow range of techniques with only the essential options
- very easy to use
- can process multiple files and all techniques in one go
- file format converter
- file merger
- results can be used in non-GIS software

# **LASTools**

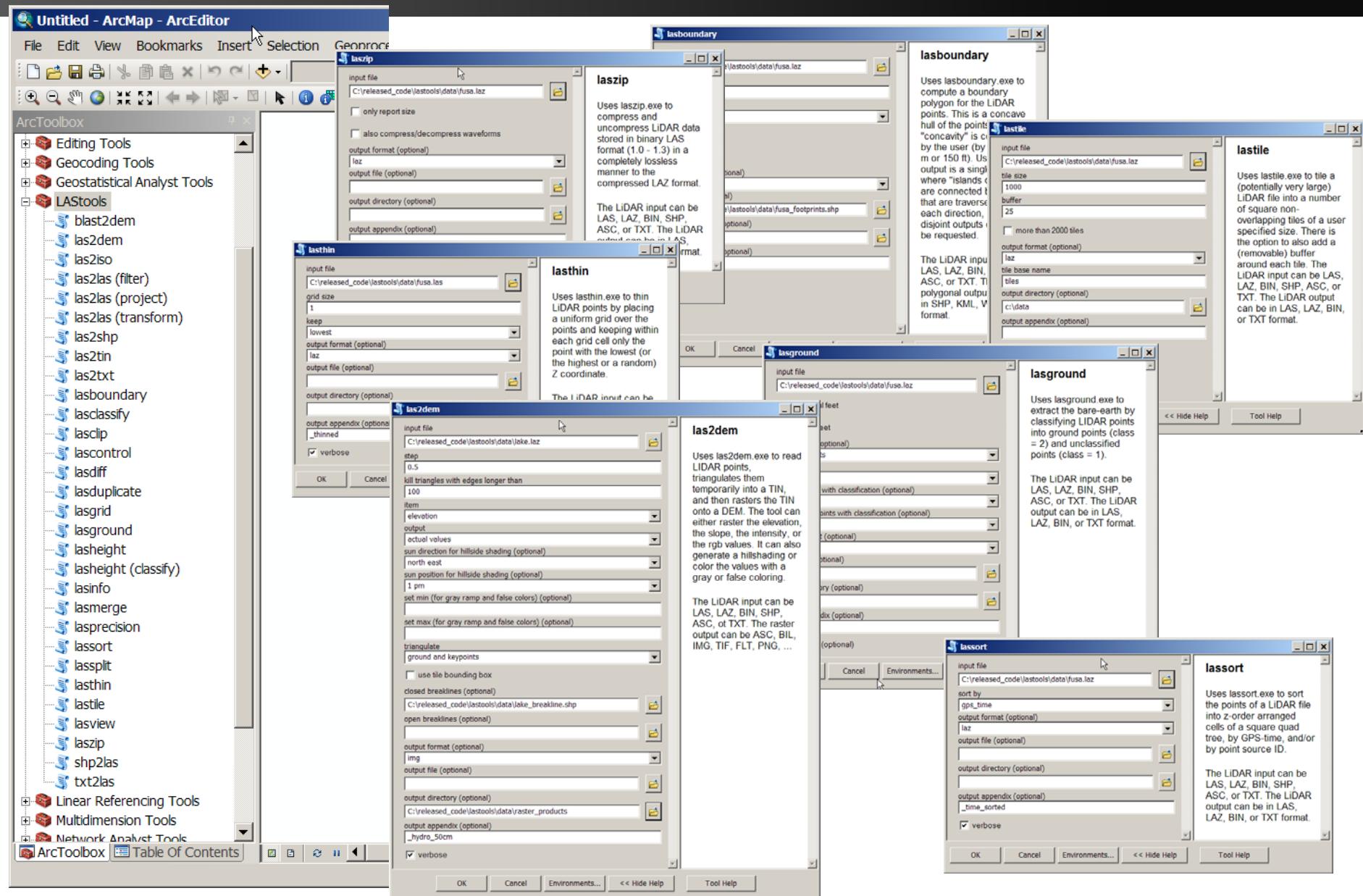
- Marin Isenburg
- Rapidlasso GmbH



- streamline processing
- *<http://lastools.org/download/lastools.zip>*
- *<http://groups.google.com/group/lastools>*

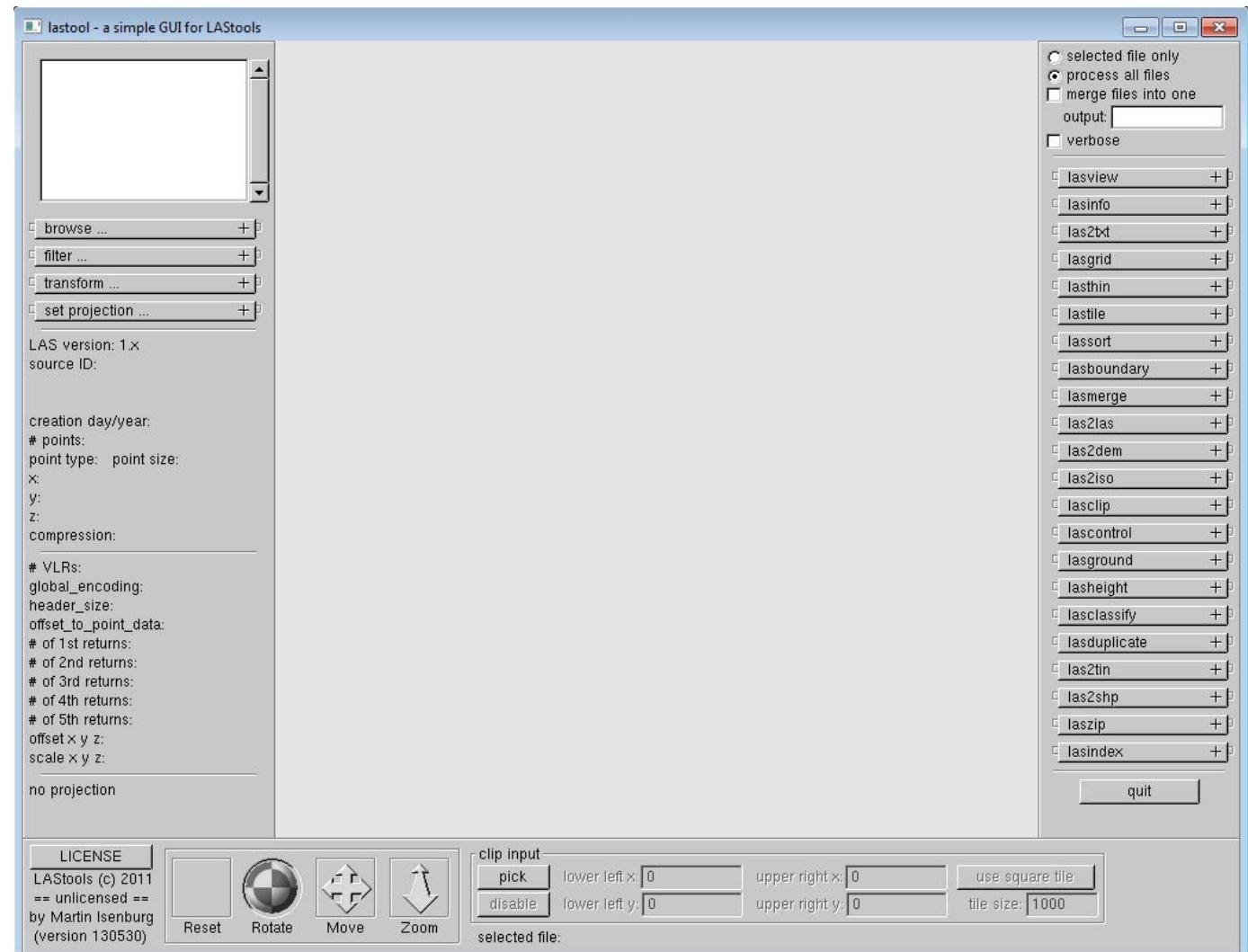
- executables (.exe files)
- GUI (e.g. las2las \*.las -gui)
- Toolboxes
  - ArcGIS
  - QGIS
  - IMAGINE

# ArcGIS toolbox



# „One to rule them all“

- lastools.exe



- make Lastools available from any location

```
set PATH=%PATH%;D:\lastools\bin
```

- making the process more readable

```
lasgrid -i flightlines\*.laz -merged ^  
    -density -step 10 -false -set_min_max 0 200 ^  
    -o density.png
```

# Pipelineing

The screenshot shows a Windows Notepad window titled "Lister - [d:\LASTools\example\_batch\_scripts\typical\_lidar\_preparation\_pipeline.bat]". The window contains a batch script for processing raw flight lines into a multi-core batch pipeline. The script uses environment variables and specifies paths for raw flight lines, temporary files, output files, and a geoid transformation grid. It also sets the number of cores, target tile size, and buffer size, and performs a recursive directory delete before starting processing.

```
;;
:: a batch script for converting raw flight lines (not tiled) into
:: a number of products with a tile-based multi-core batch pipeline
::

::
:: specify parameters
::

:: allows you to run the script from other folders
set PATH=%PATH%;C:\Users\Public\lastools\bin;

:: here we specify the directory (e.g. the folder) in which the
:: original raw flight lines files are stored
set RAW_FLIGHT_LINES=E:\Raw_strips

:: here we specify in which format the original raw flight lines
:: files are stored in the RAW_FLIGHT_LINES folder
set RAW_FORMAT=las

:: here we specify the directory (e.g. the folder) in which the
:: temporary files are stored
set TEMP_FILES=C:\lastools_temp

:: here we specify the directory (e.g. the folder) in which the
:: resulting output files are stored
set OUTPUT_FILES=C:\lastools_output

:: here we specify where the GEOID transformation grid is stored
set GEOID=C:\Users\Public\Documents\WGS84_to_EGM2008_GRID_Adjustment\wgs84_2_egm0
8.asc

:: here we specify the number of cores we want to run on
set NUM_CORES=7

:: here we specify the target tile size of the tiling
set TILE_SIZE=1000

:: here we specify the target buffer size for each tile
set TILE_BUFFER=50

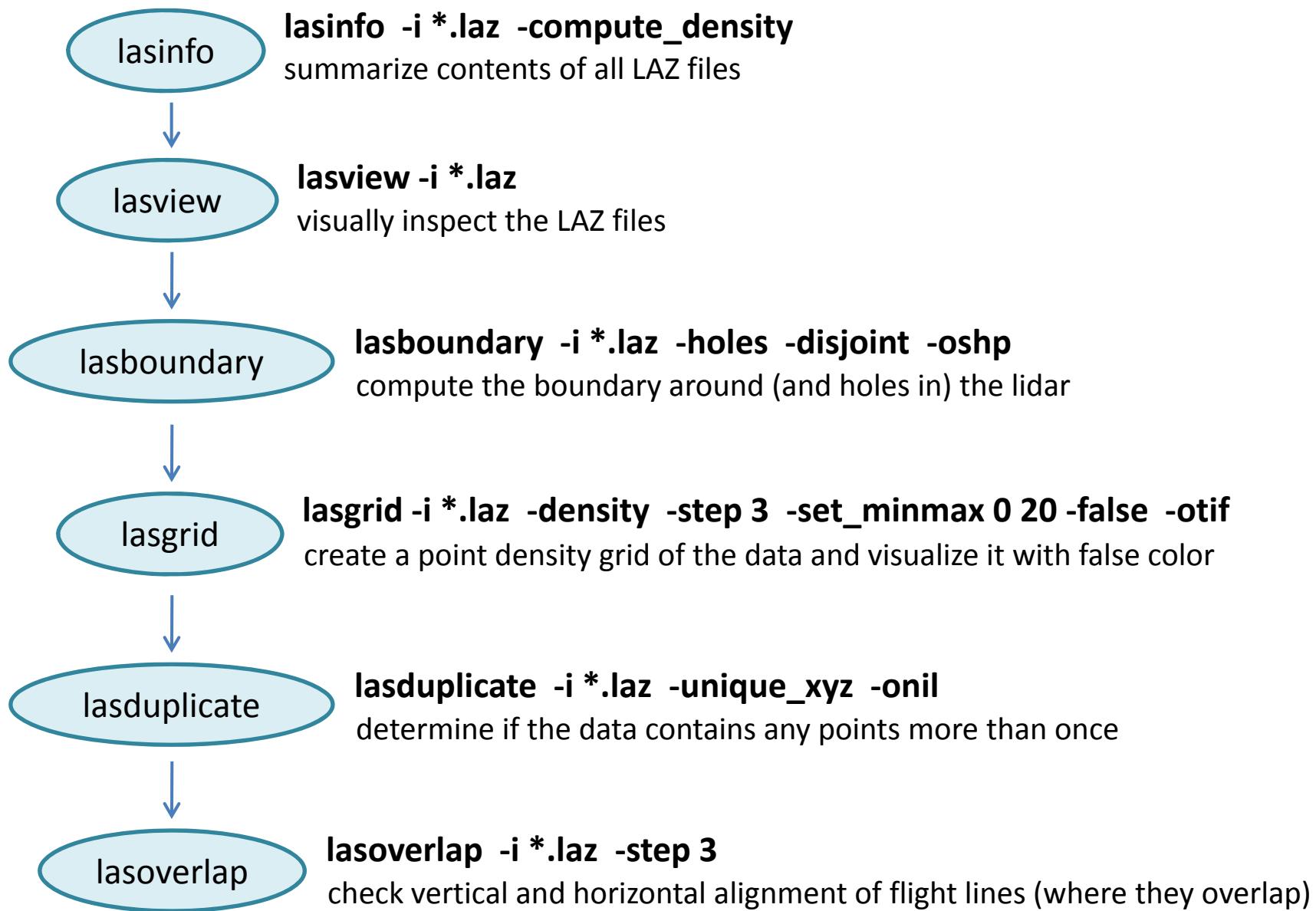
rmdir %TEMP_FILES% /s /q

::
:: start processing
::

:: transform the strips to GEOID EGM2008 orthometric heights

mkdir %TEMP_FILES%\orthometric_strips
lasheight -i %RAW_FLIGHT_LINES% *.%RAW_FORMAT% ^
```

# Quality checking



# 1. Data, Quality control and Metadata

Practice

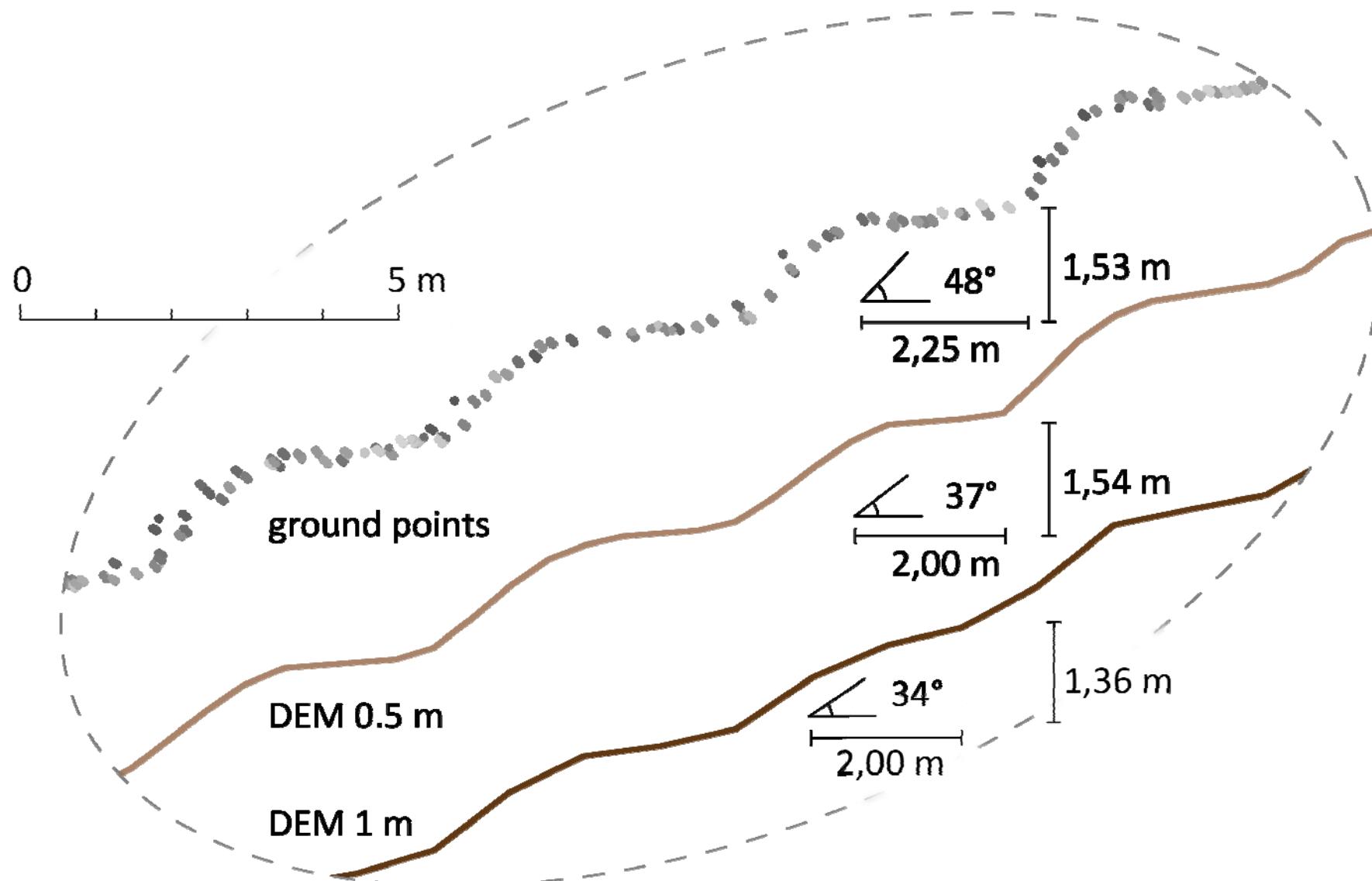
# 1. Data, Quality control and Metadata - practice

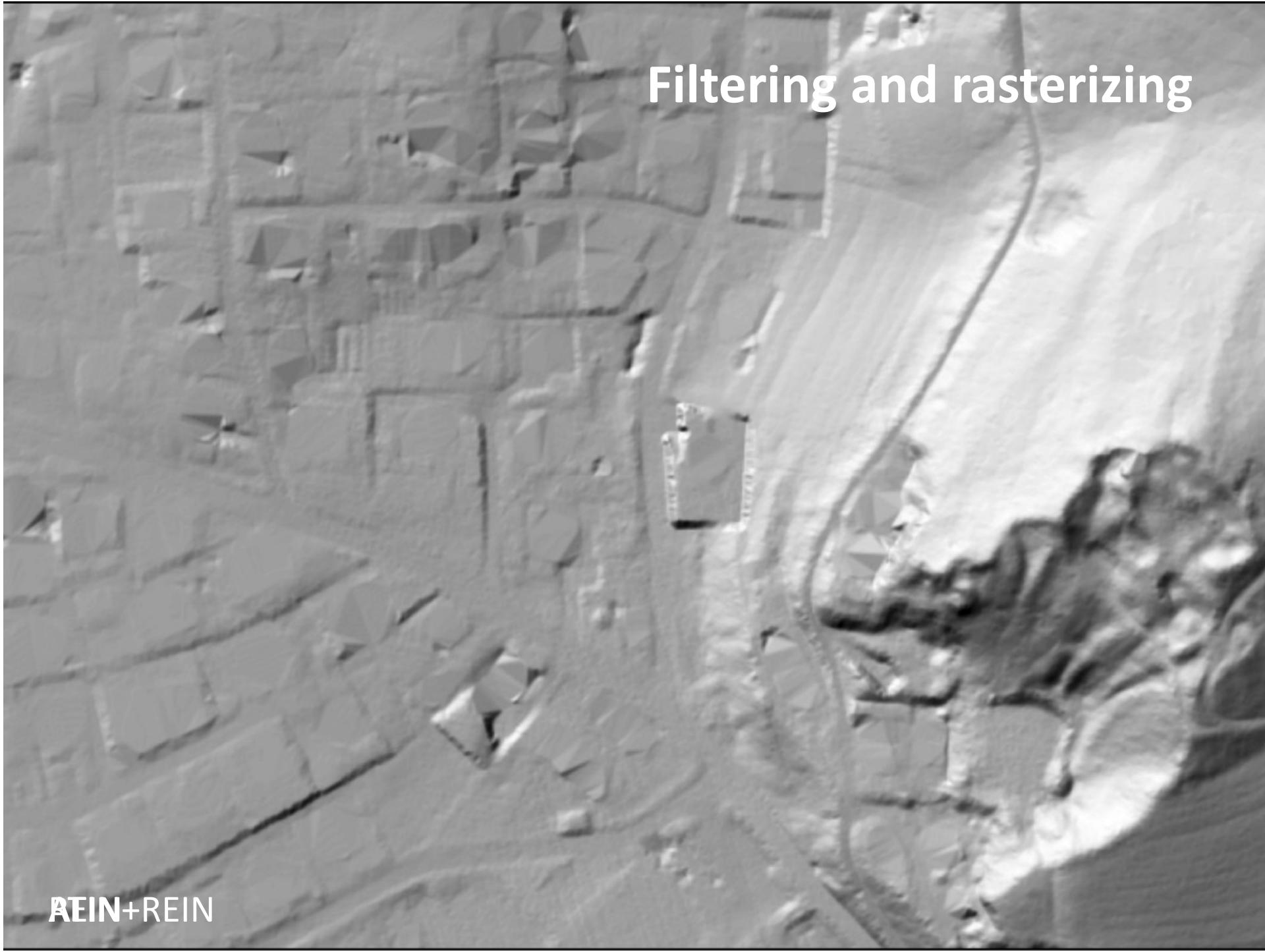
- Goals:
  - know your point cloud data
  - organize it
- Files:
  - PointCloud.laz (Sv.Helena)
- Tools:
  - lasinfo
  - lasoverlap
  - lasindex
  - lastile
  - lasboundary

## **2. Rasterizing – making useful images**

presentation & practice

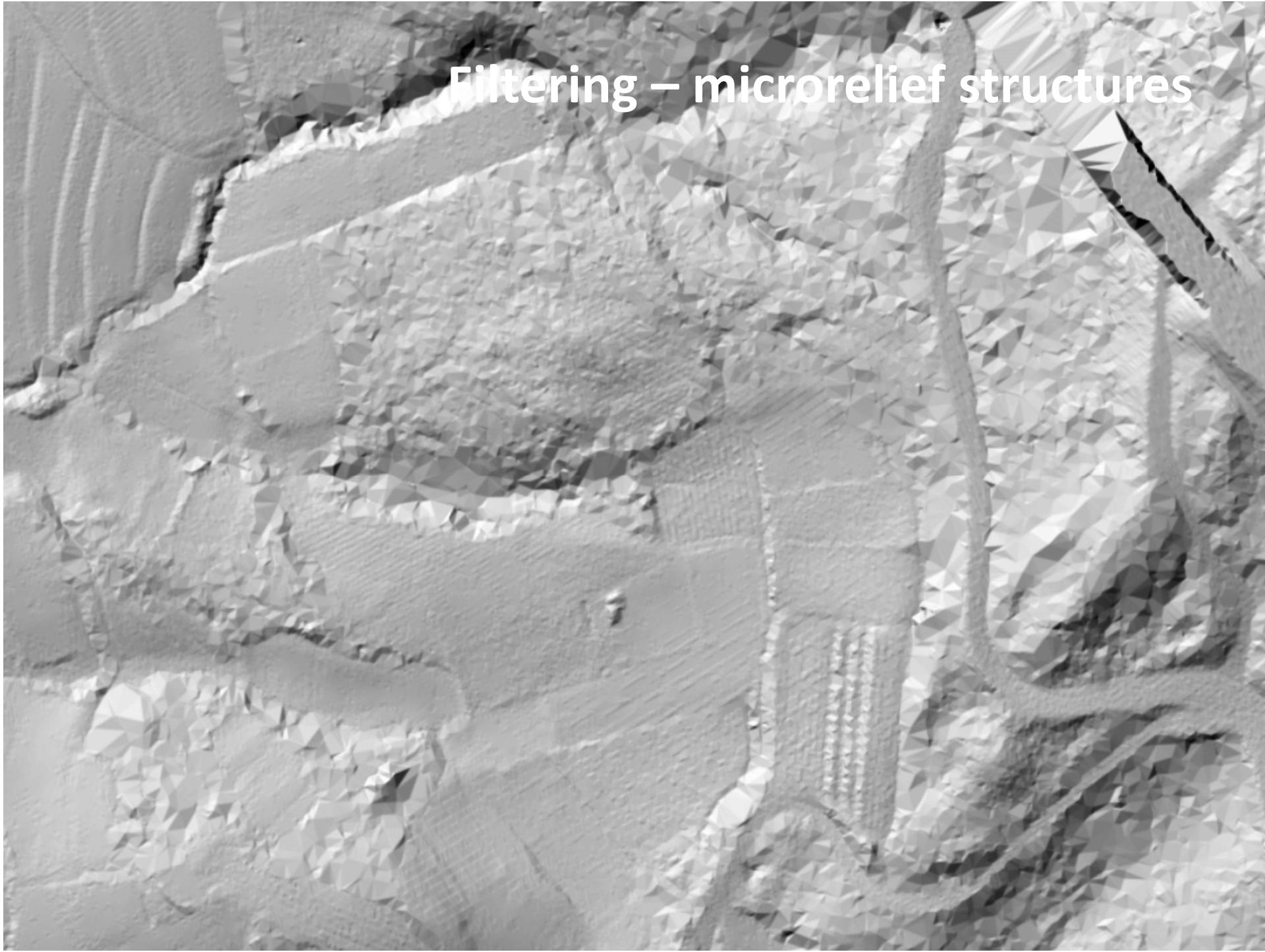
# Pixel size





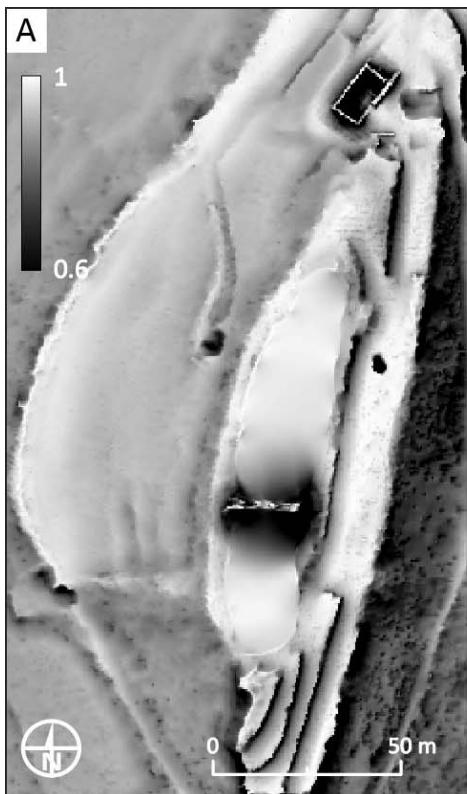
Filtering and rasterizing

AEIN+REIN

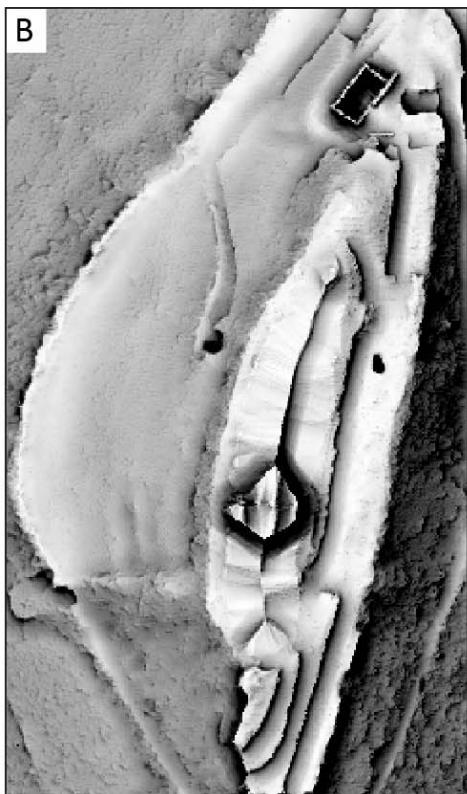


Filtering – microrelief structures

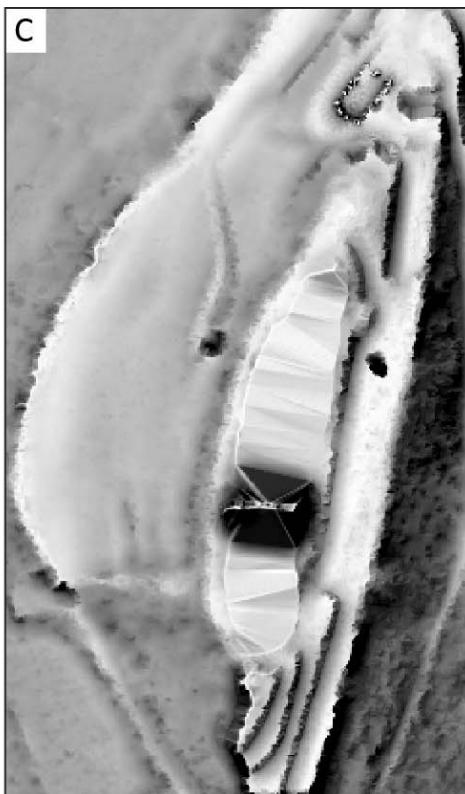
# Interpolation methods



Natural Neighbours



Inverse Distance  
Weighted (IDW)



TIN with Repetitive  
Interpolation (REIN)

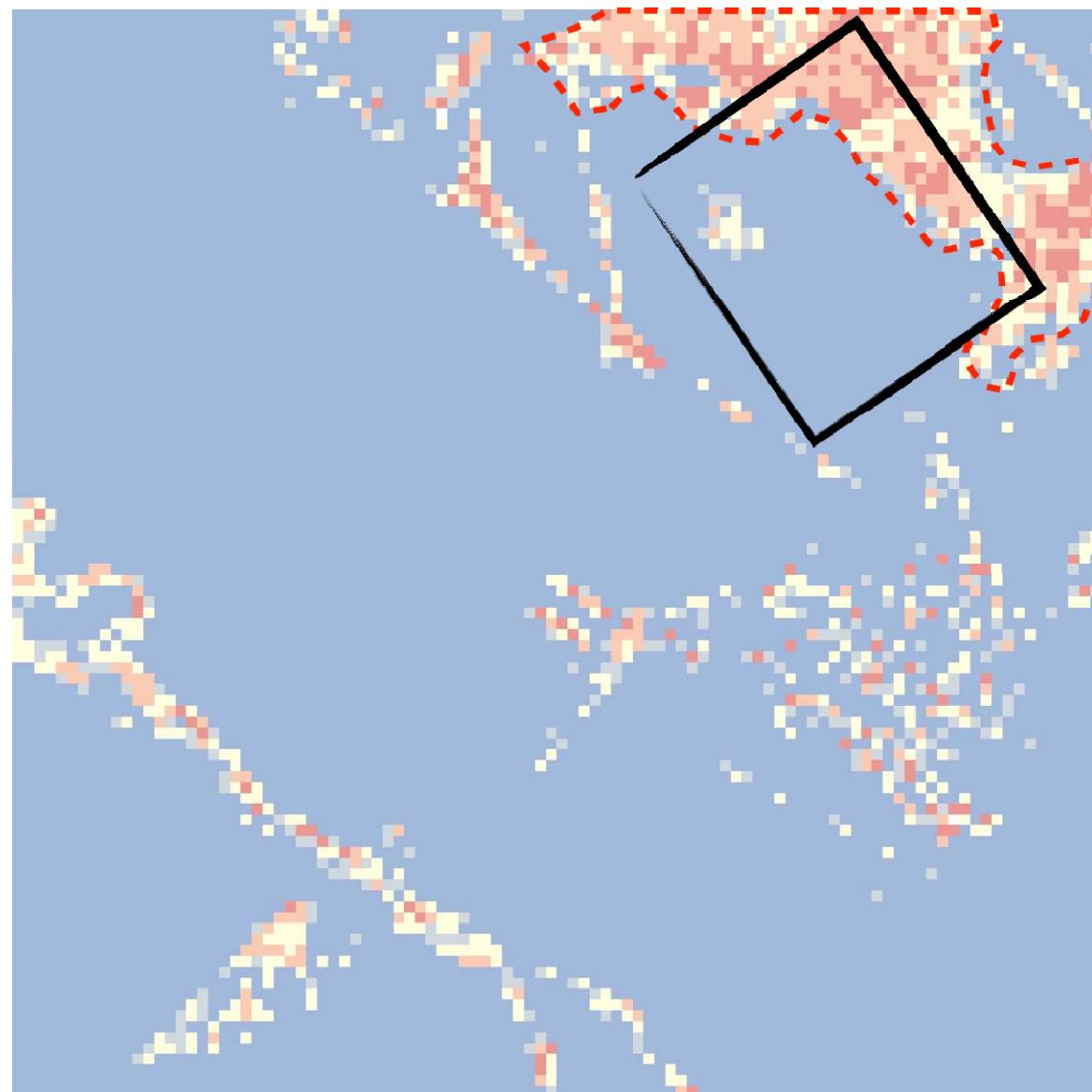


Splines

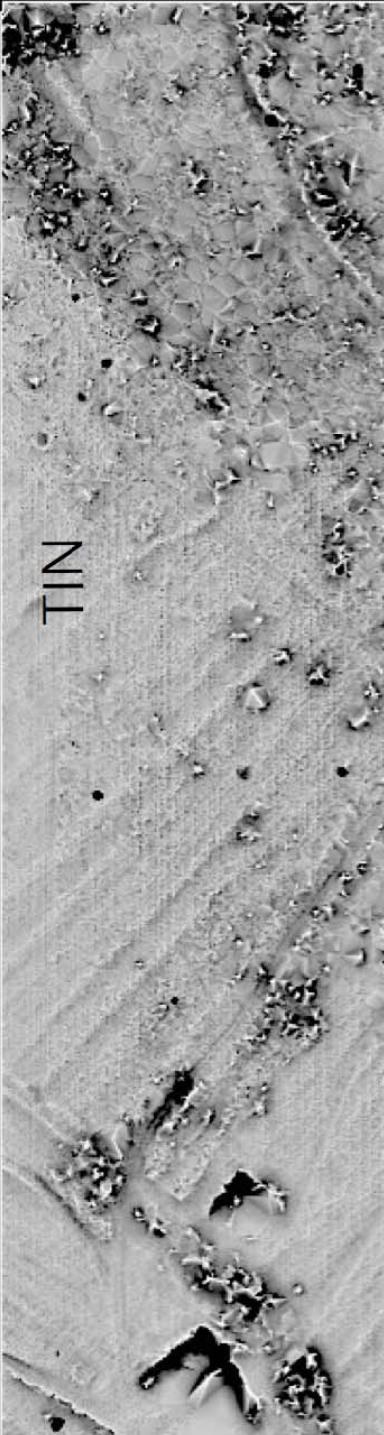
# Interpolation methods

Point density

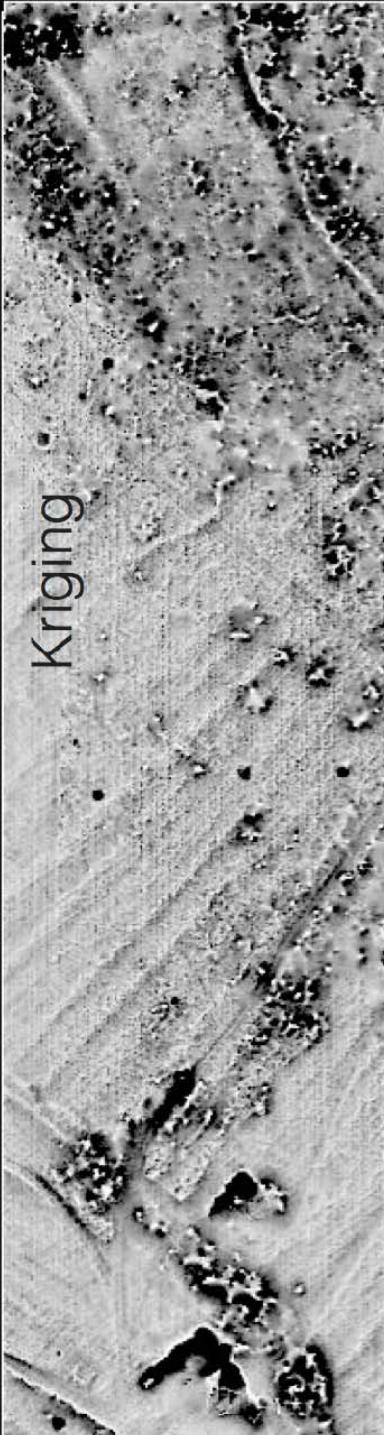
- 0,3 m
- 0,5 m
- $\leq 1$  m



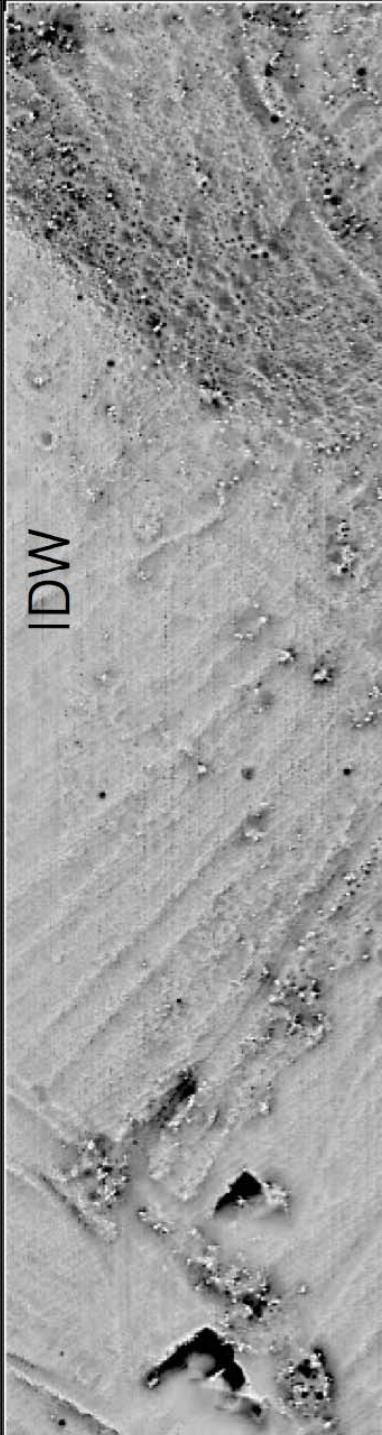
TIN



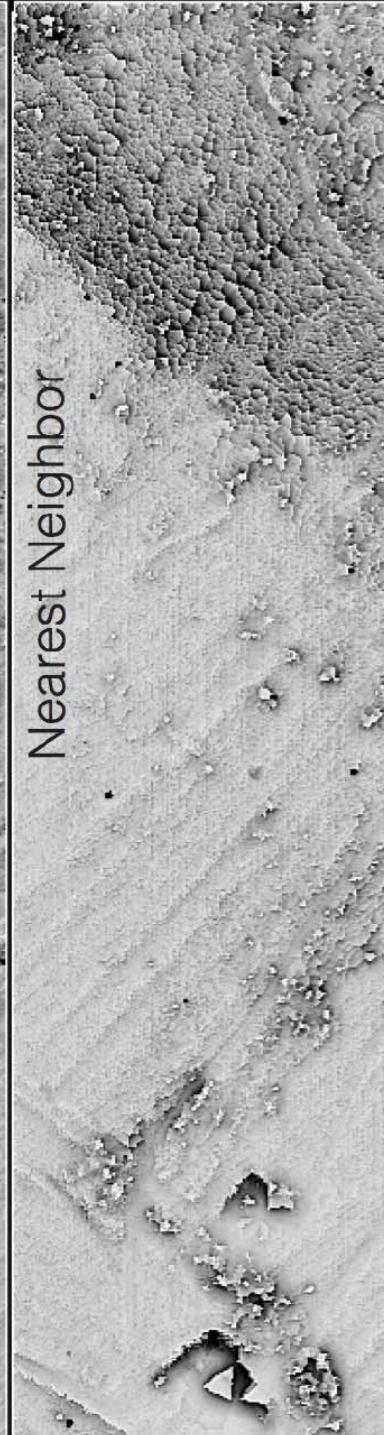
Kriging



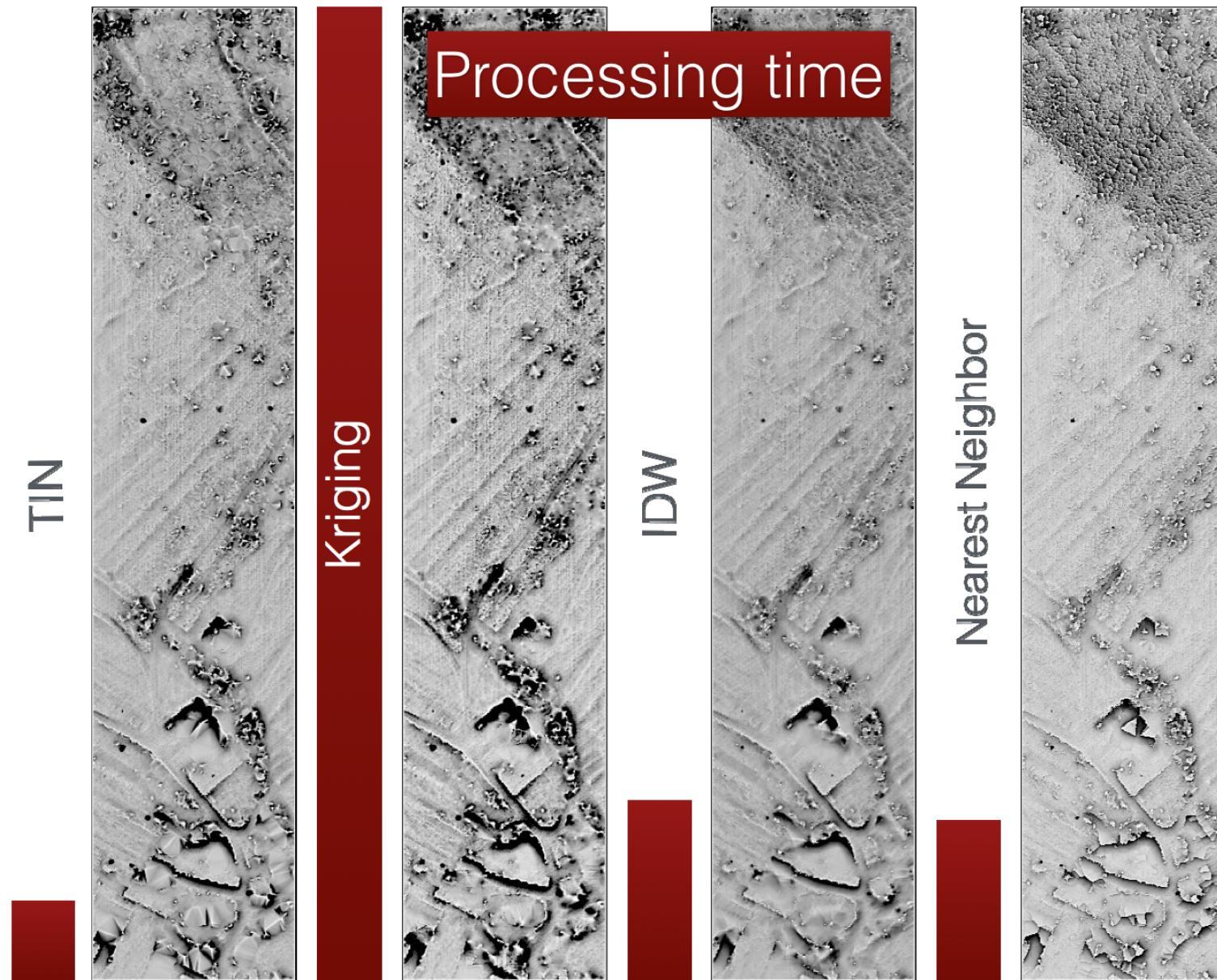
IDW



Nearest Neighbor

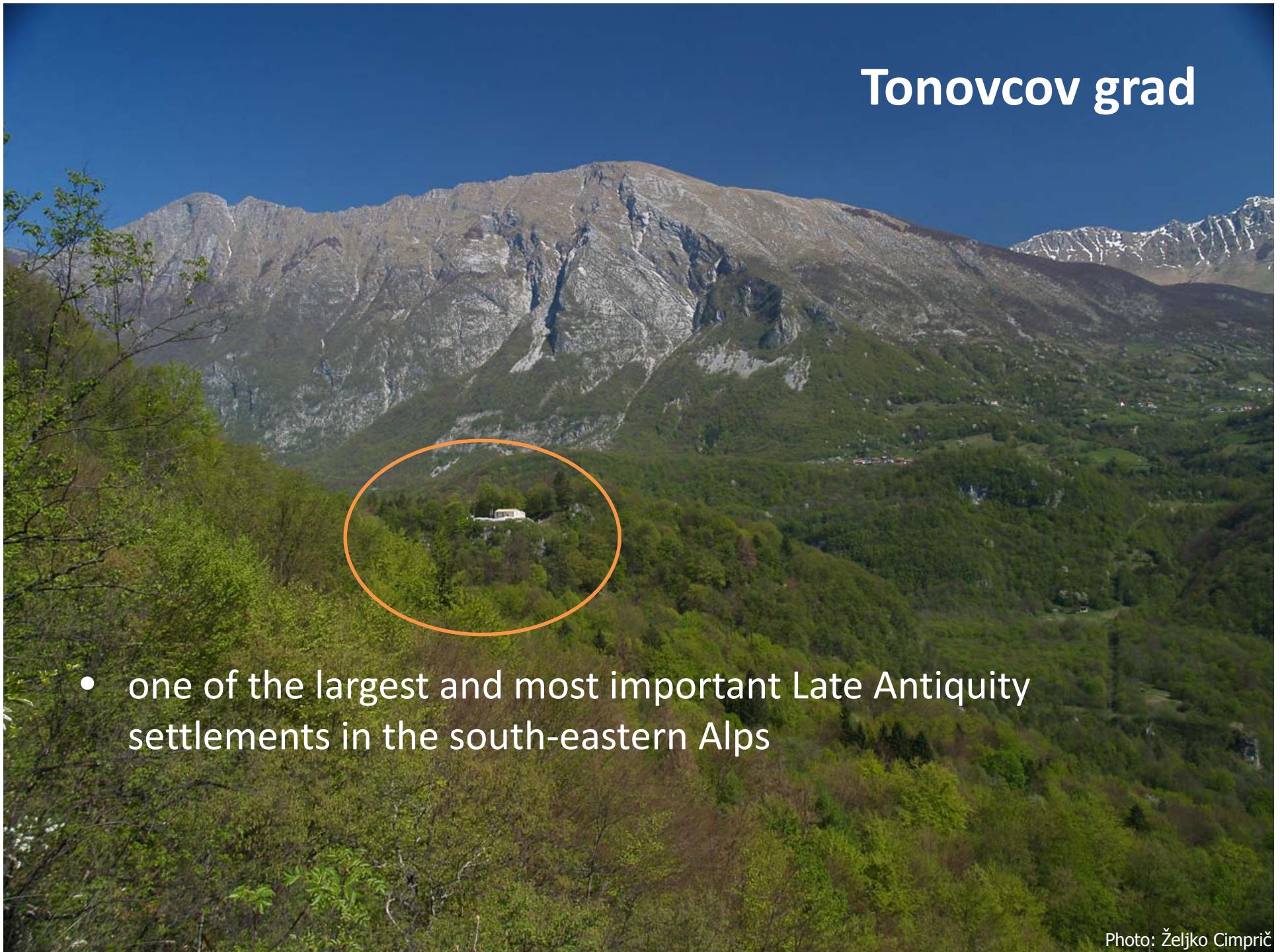


# Interpolation methods



# Raster data visualizations

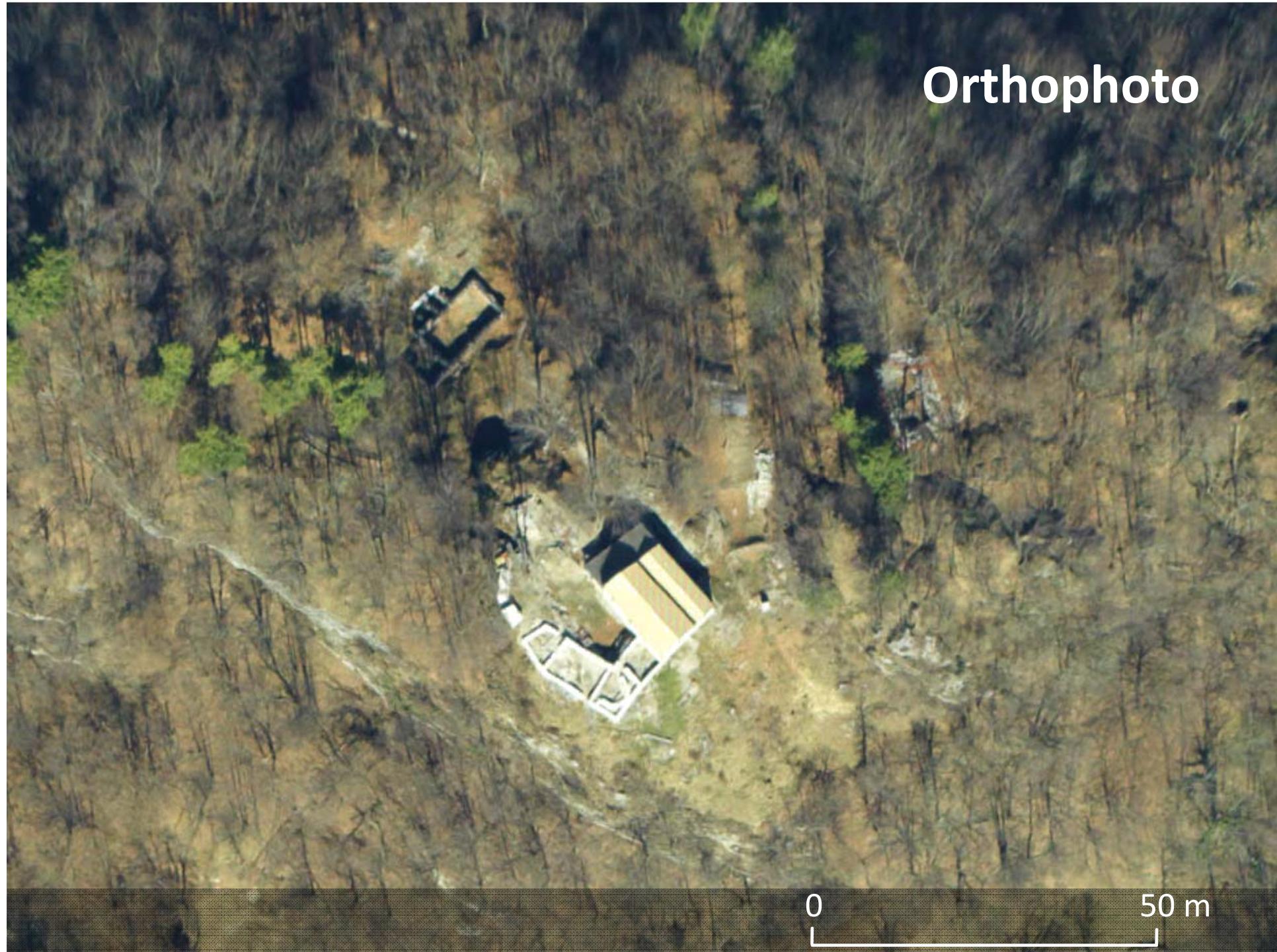
# Tonovcov grad



- one of the largest and most important Late Antiquity settlements in the south-eastern Alps

Photo: Željko Cimprič

Orthophoto



0

50 m

Shaded relief



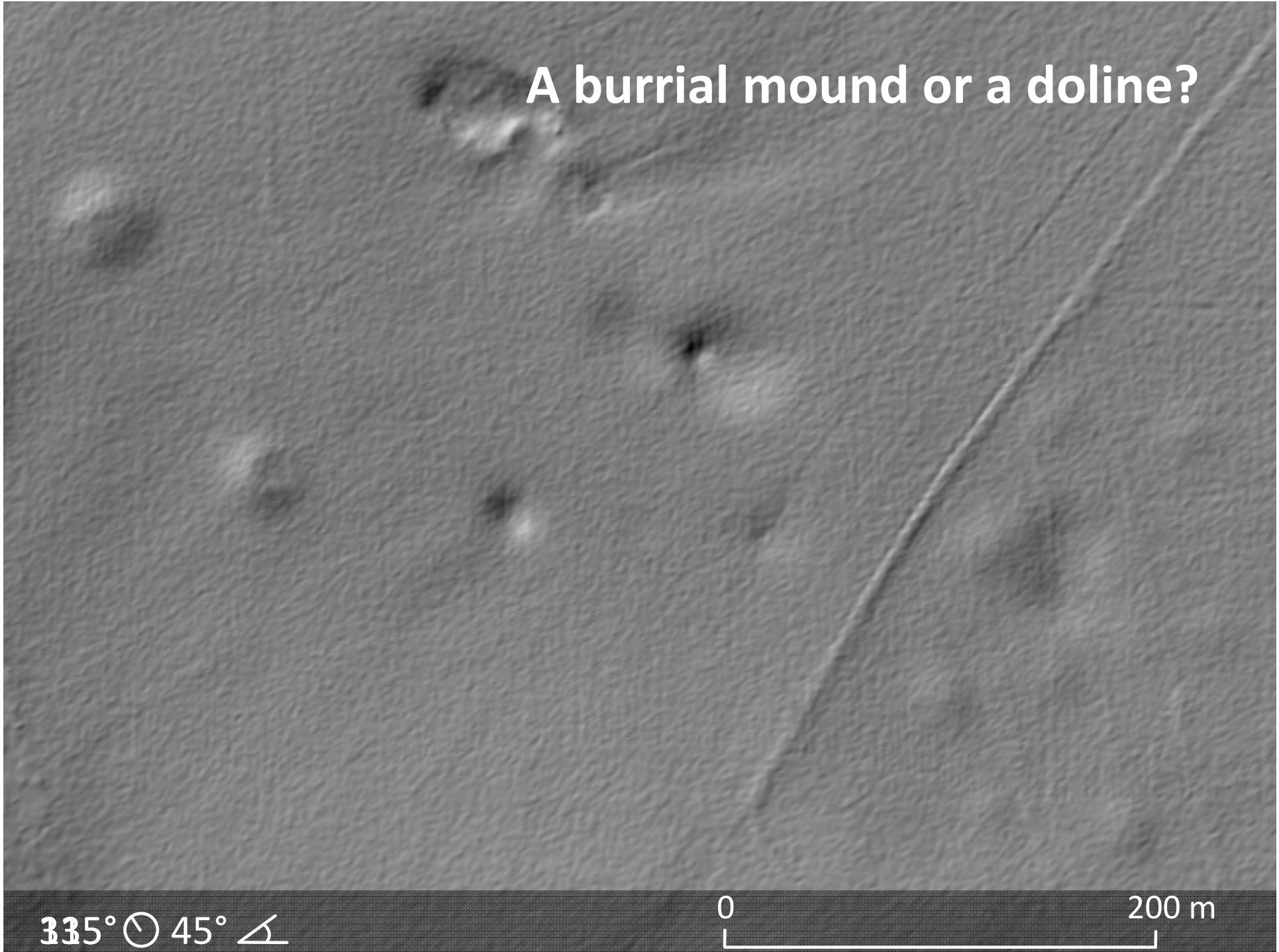
# Typical ridge and furrow case study

Lidar Data Copyright  
Infoterra Global Ltd

345° ⓠ 45° ↘

0

100 m

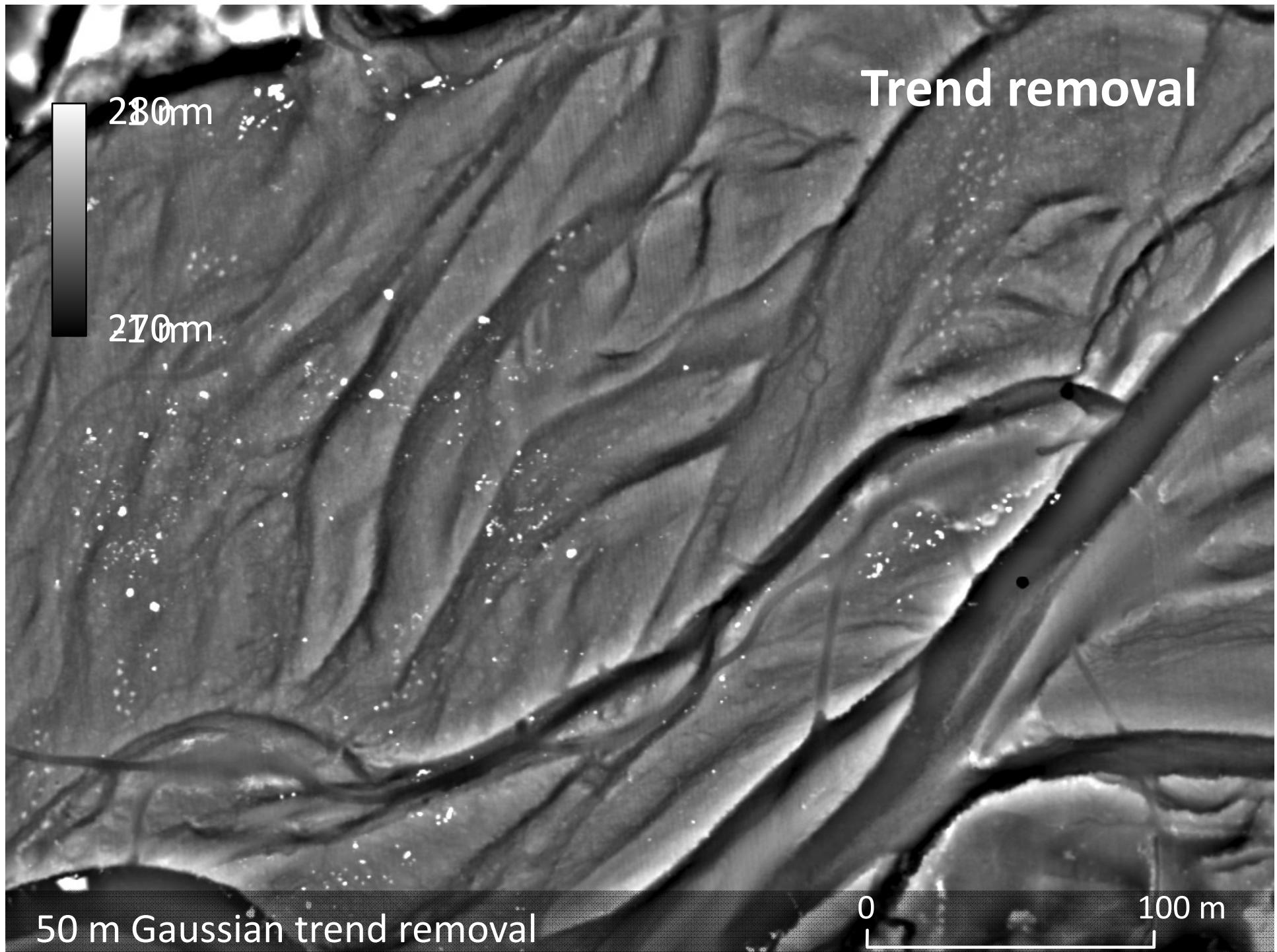


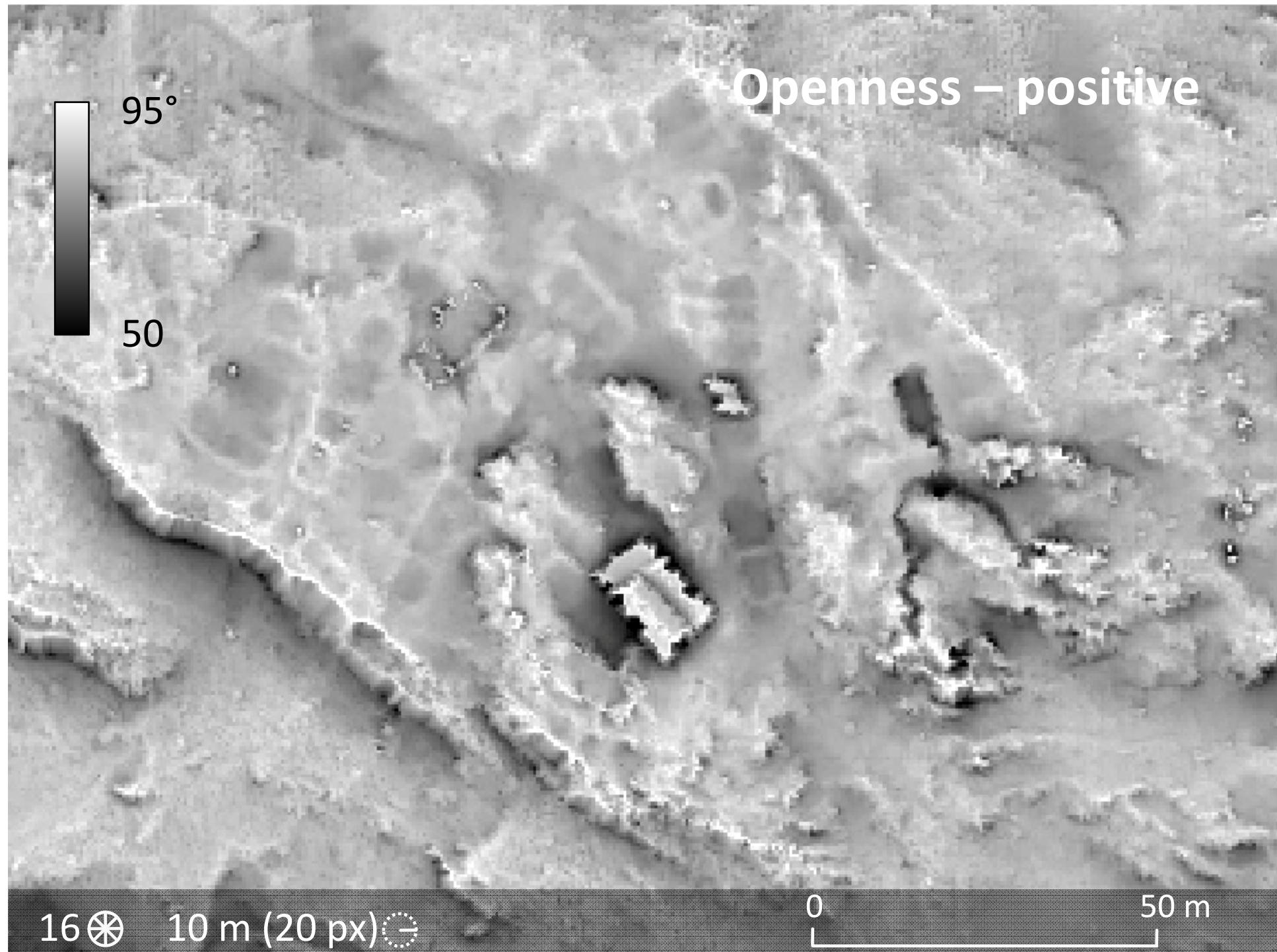
A burial mound or a doline?

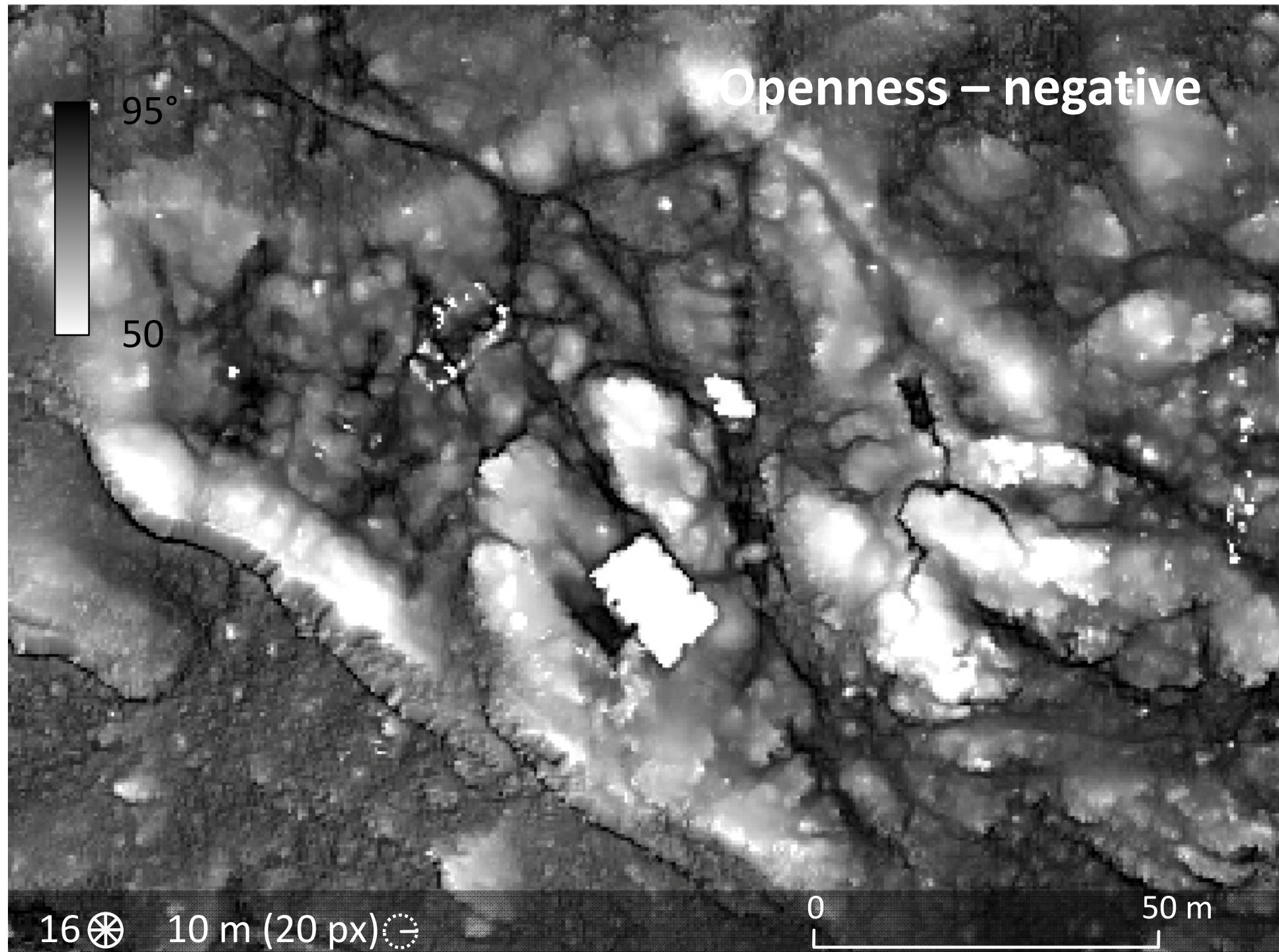
335° ⊙ 45° ↘

0

200 m







Sky View Factor



1

0.6

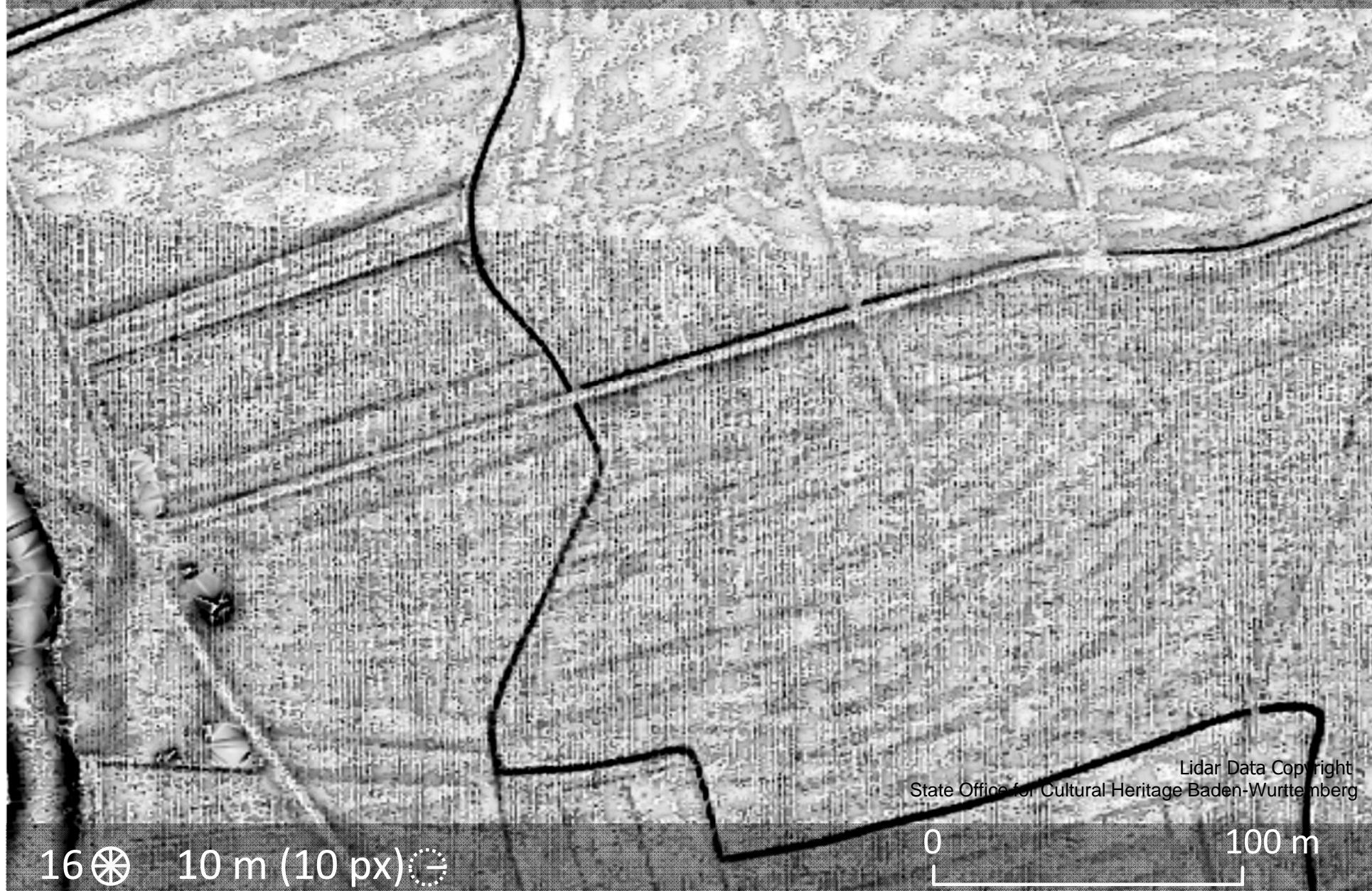
16 Ⓢ

10 m (20 px) Ⓣ

0

50 m

## SVF – Noisy data



**Not only for lidar DEMs**

# Applicable to all gridded elevation data

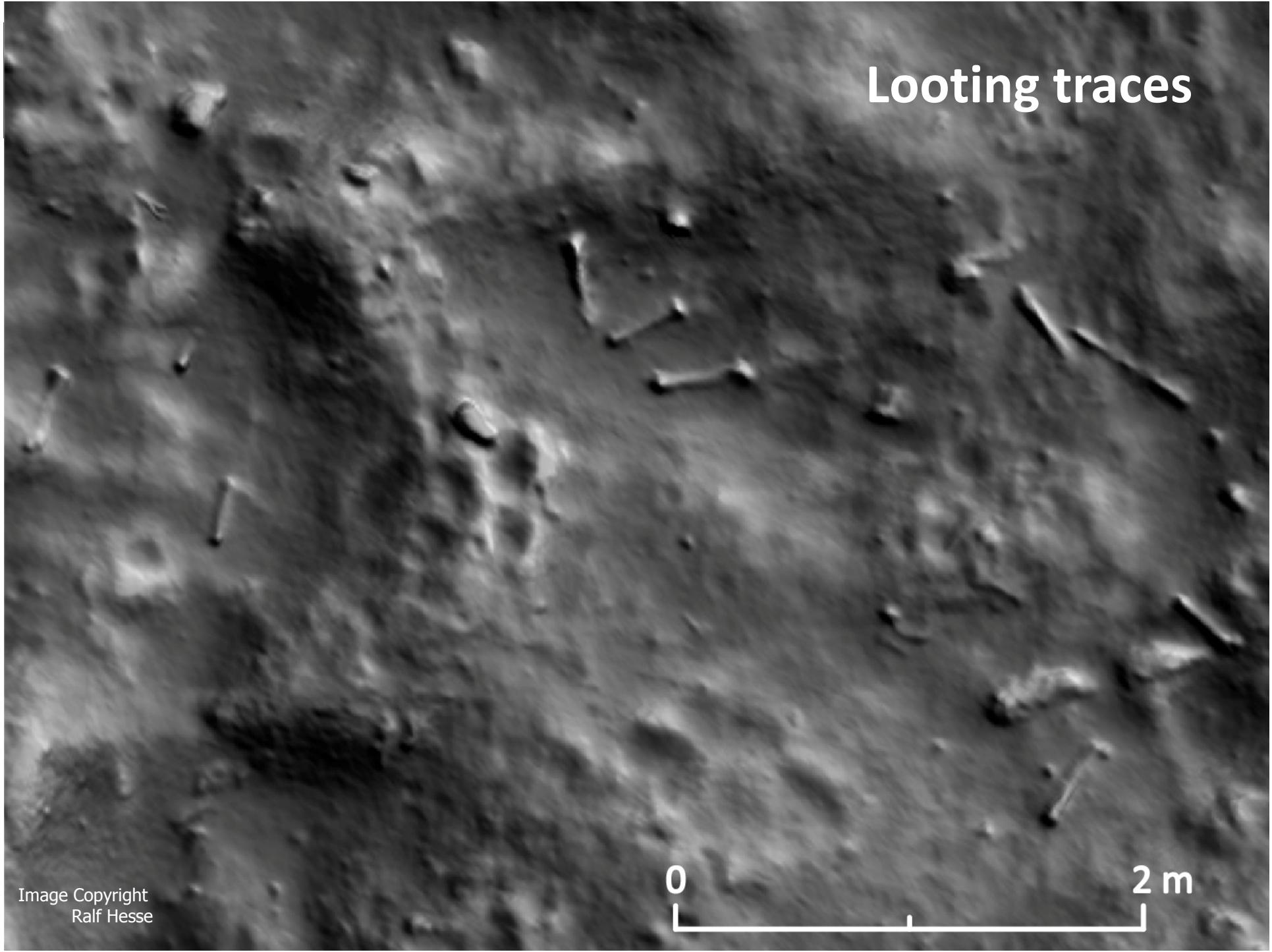
- data sources
  - airborne lidar
  - TLS
  - SfM
  - SLS
- observation
  - landscape
  - site
  - object
- scale
  - continental
  - regional
  - local

## Decorations

- SfM, Newgrange kerbstone



Sky View Factor



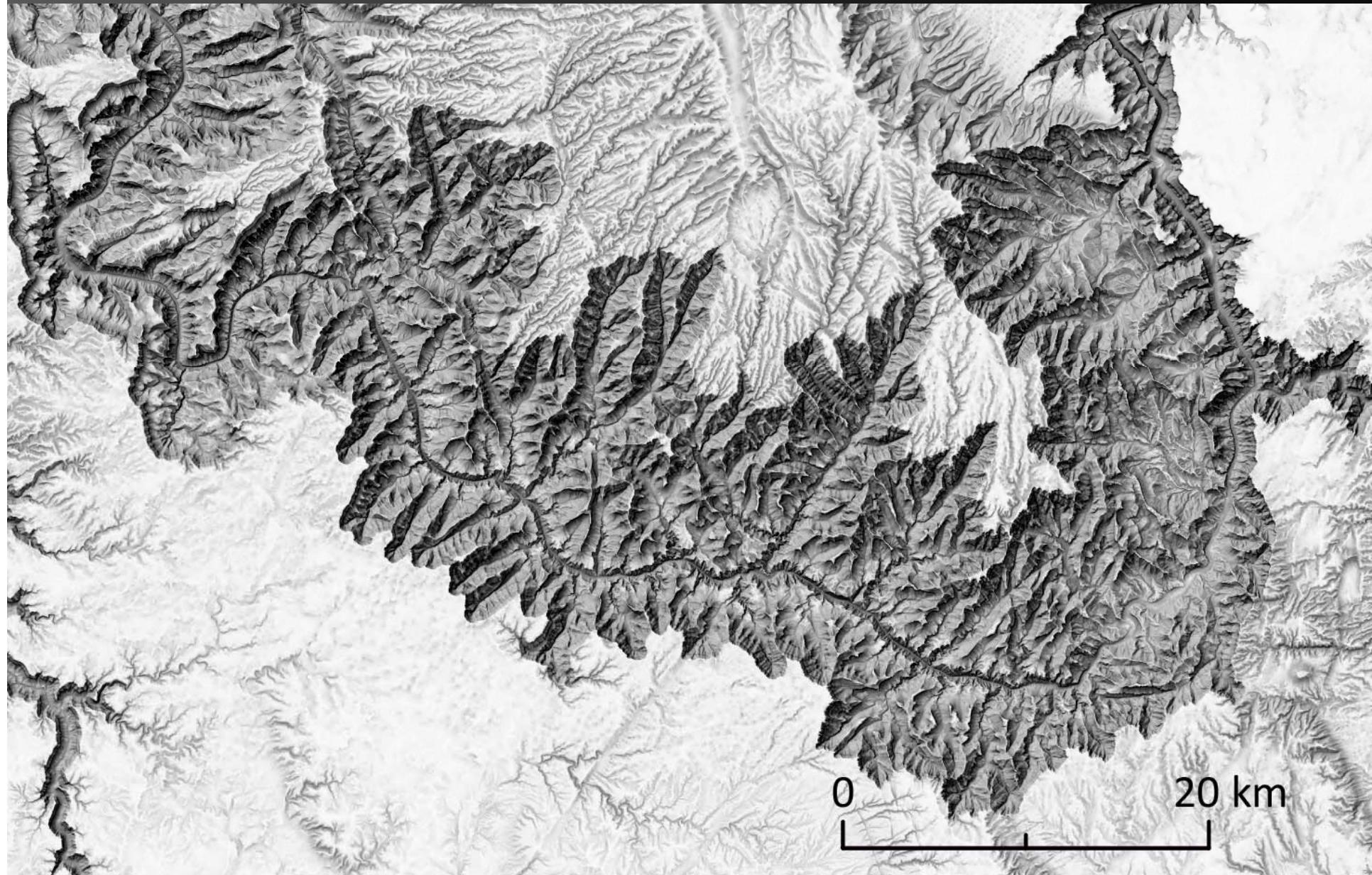
Looting traces

Image Copyright  
Ralf Hesse

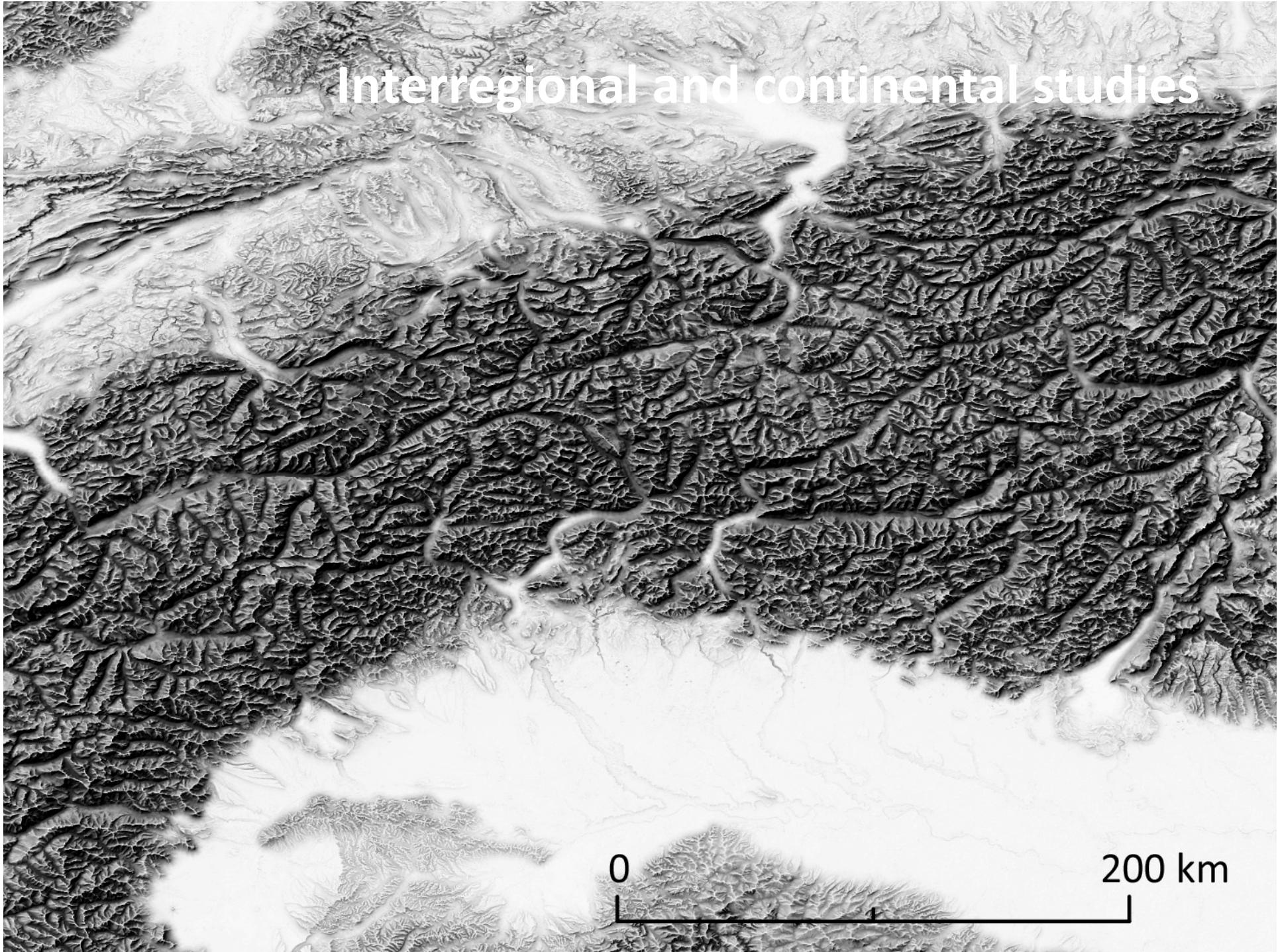
0

2 m

# Regional studies



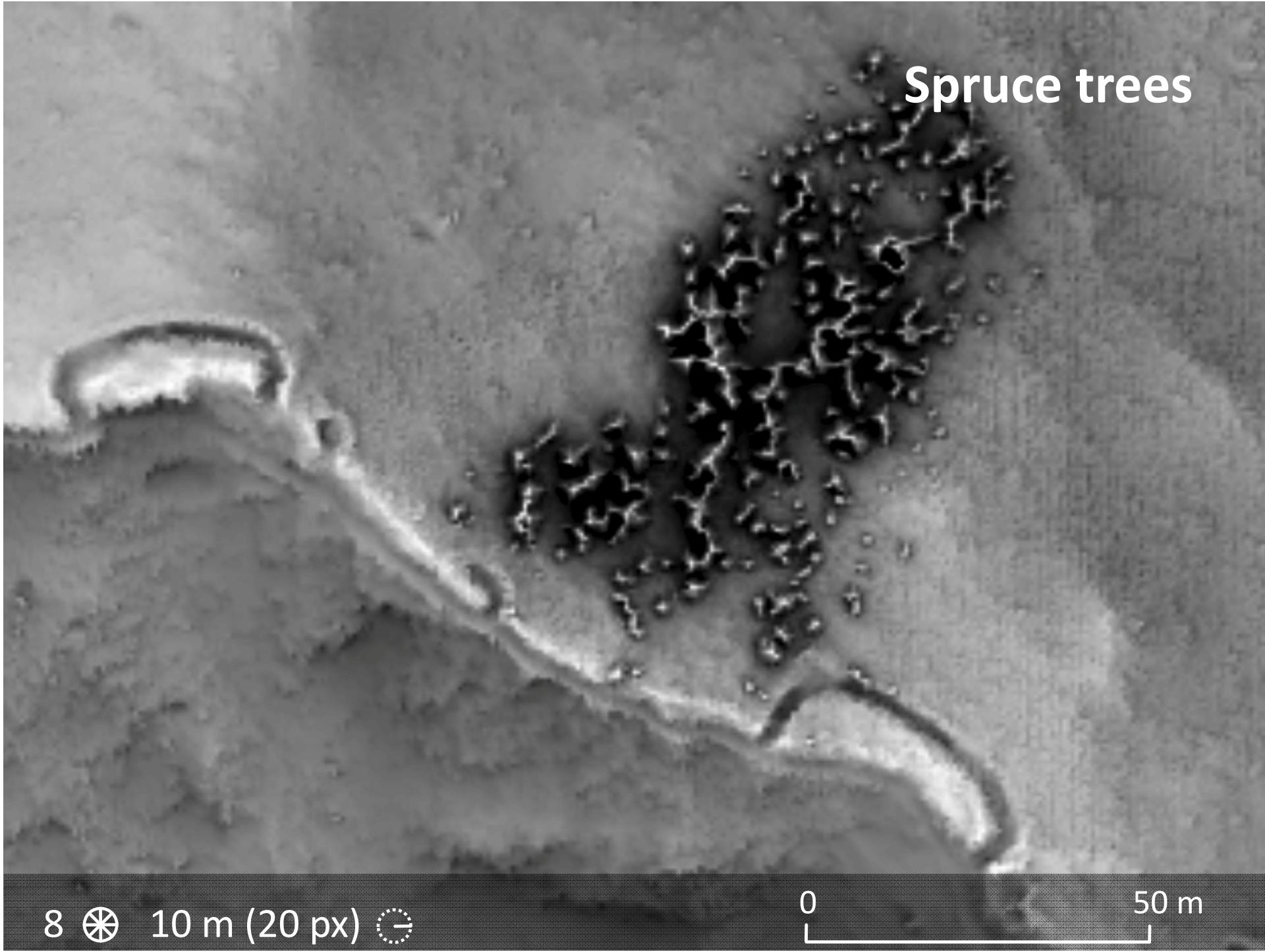
# Interregional and continental studies



# Artefacts

# Artefacts in data

- acquisition
- processing

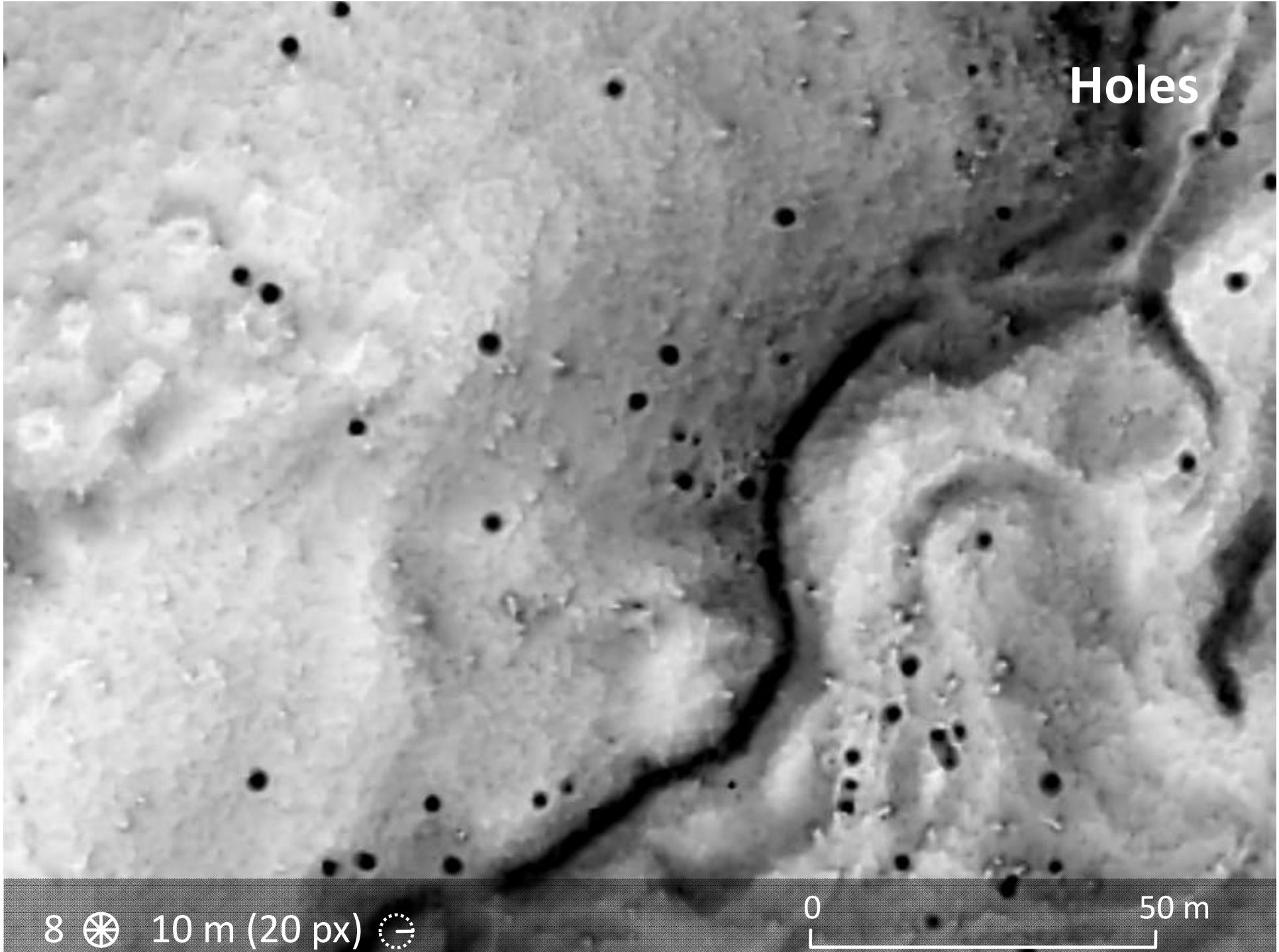


Spruce trees

8 ⓘ 10 m (20 px) ⏹

0

50 m



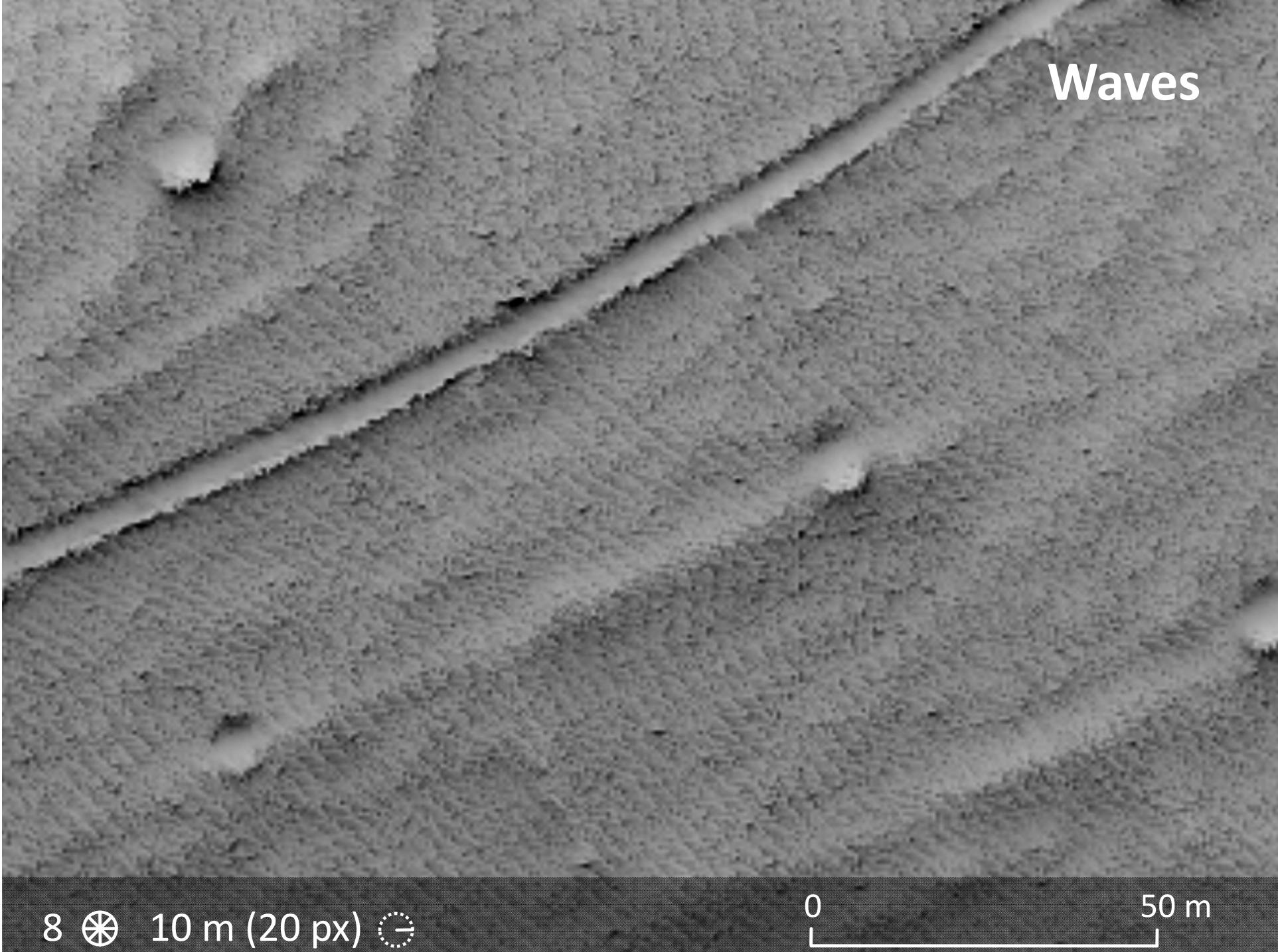
Holes

8 ⚙

10 m (20 px) ⚙

0

50 m

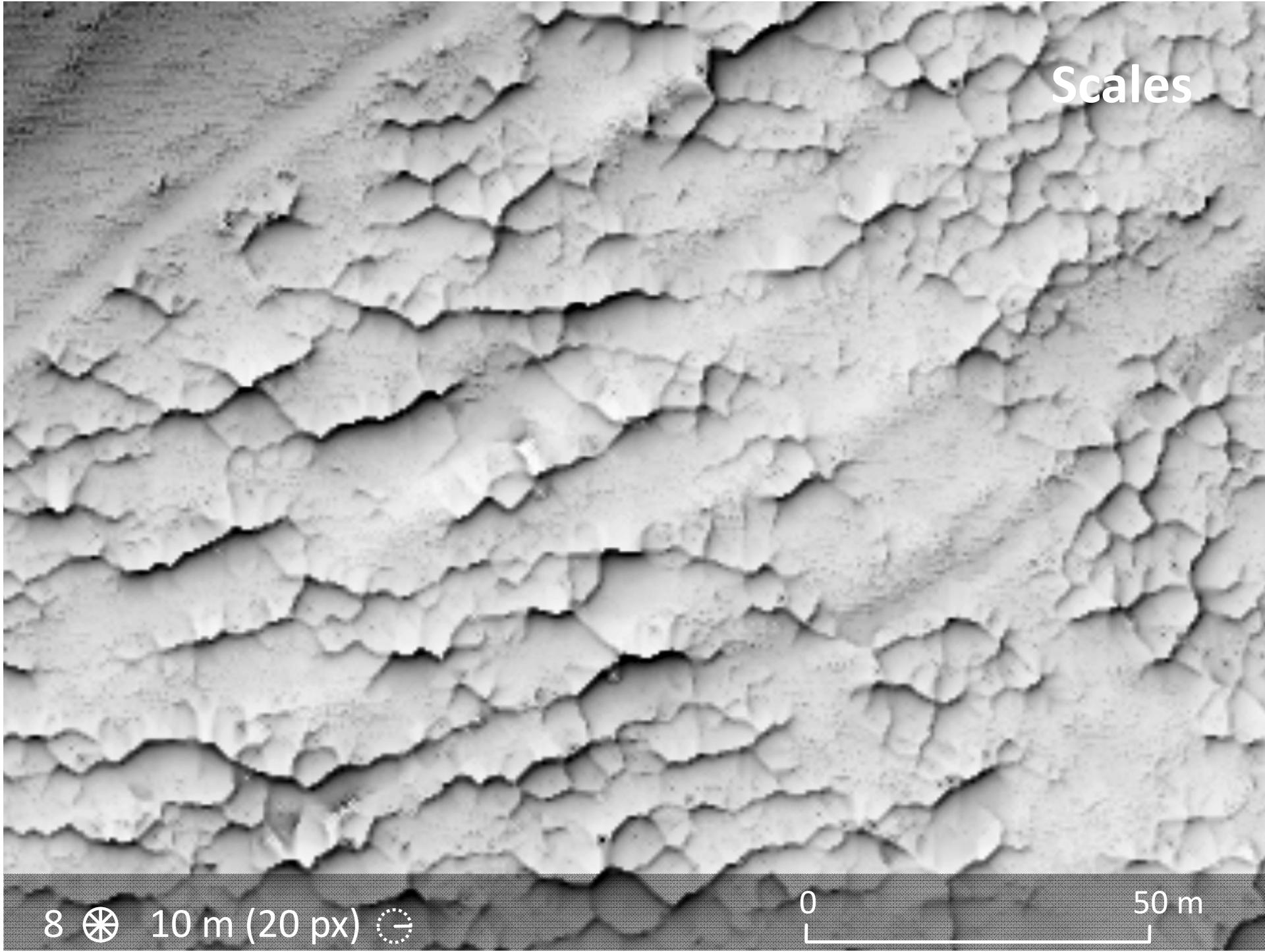


Waves

8 ⓘ 10 m (20 px) Ⓛ

0

50 m



Scales

This scanning electron micrograph shows a surface covered in numerous overlapping, polygonal scales. The scales have a distinctively wavy or undulating pattern, creating a textured appearance. The lighting highlights the raised edges of the scales and the valleys between them.

8

10 m (20 px)



0

50 m



Stars



10 m (20 px)

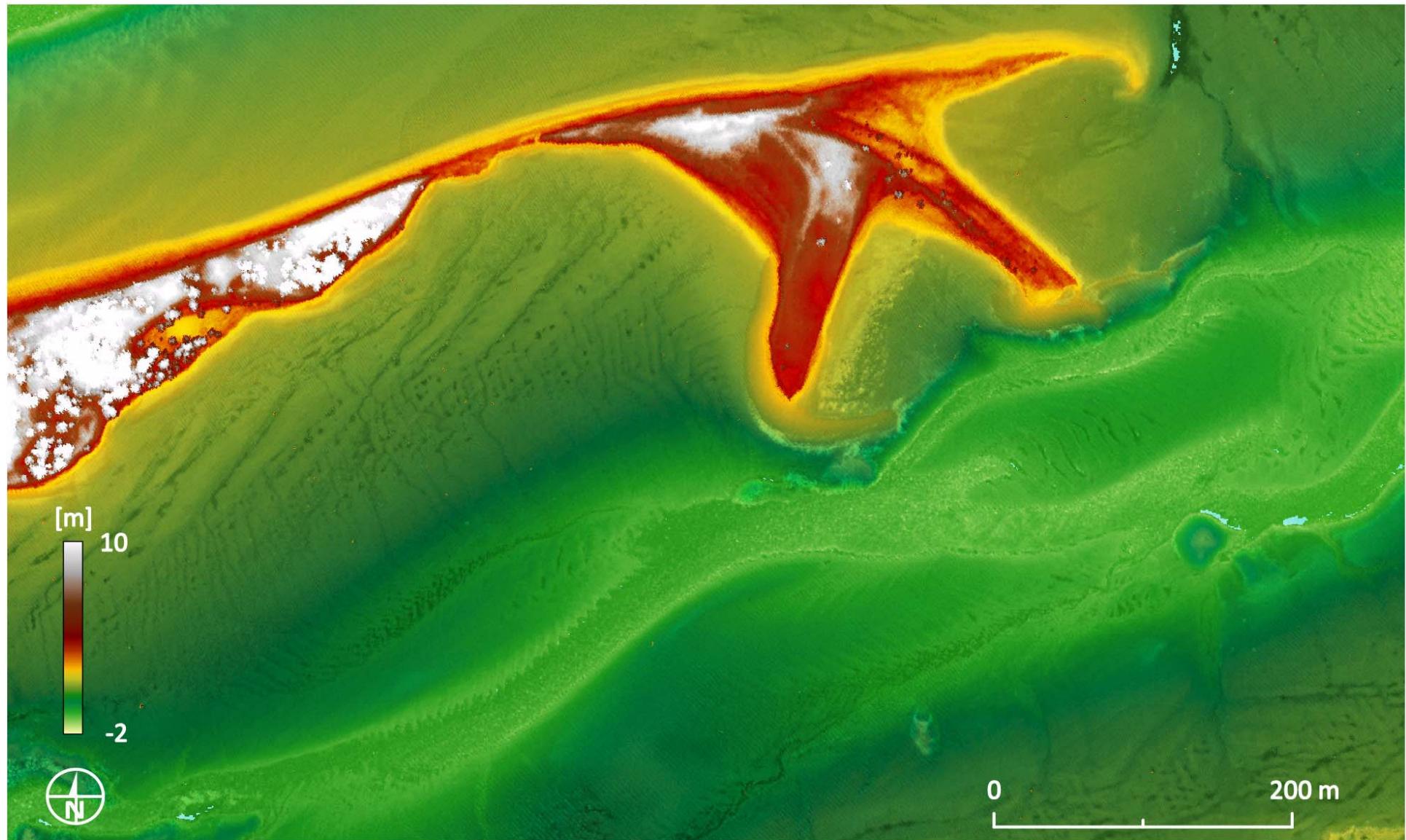
A symbol consisting of a circle with a diagonal line through it, indicating a scale factor.

50 m

# Culbin sands



# Culbin sands



## **2. Rasterizing – making useful images**

Practice

## 2. Rasterizing – making useful images

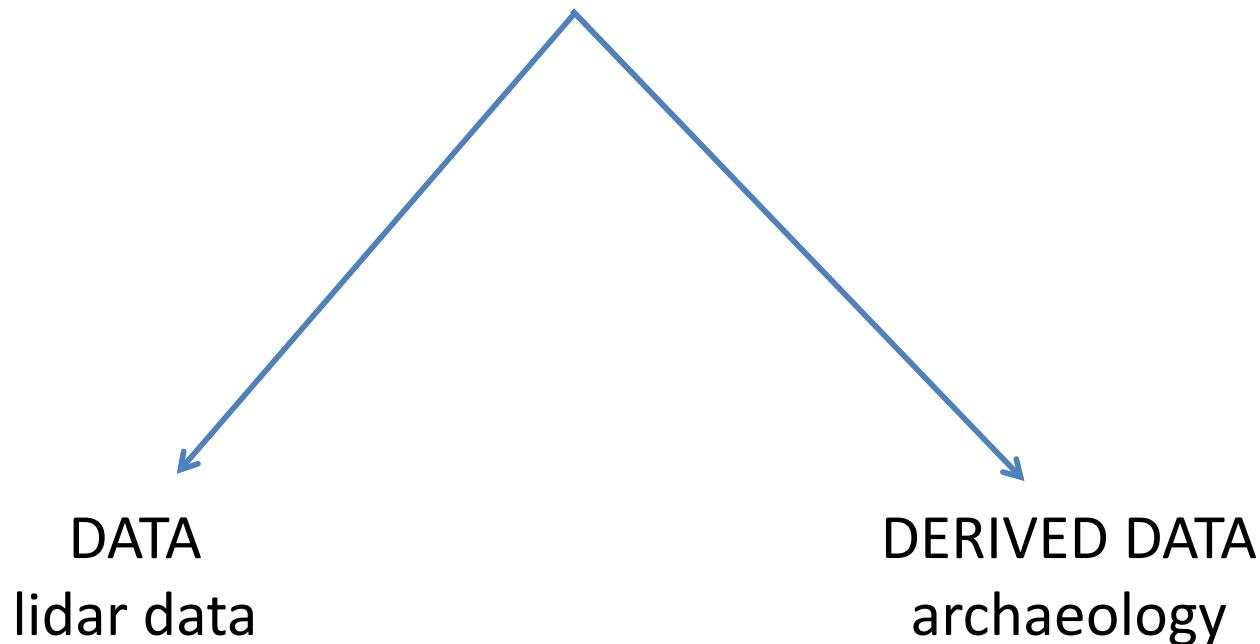
- Goals:
  - rasterizing
  - what a difference a visualization makes
- Files:
  - PointCloud
  - DEM (Sv.Helena)
- Tools:
  - RVT

### **3. Data Homogenization**

presentation & practice

# Data homogenization

- *standardization* – make use of standard formats etc.
- *homogenization* – make uniform, integrate, fuse
- *harmonization* – coordinate, fit together, i.e. LOD



# Lidar data homogenization

- *standardization* – LAZ, GeoTIFF, TXT
- *homogenization* – fuse disparate data in GIS
- *harmonization* – transparent data archive

# Homogenization – multi-temporal data

DTM change detection - why?

- monitoring of archaeological sites and landscapes
  - central heritage management task: “to monitor the condition of cultural monuments”
- record, understand and quantify the impact of natural and anthropogenic processes
- detection of threats

## Homogenization – multi-temporal data

Multi-temporal datasets have been almost always:

- acquired with different scanners
- raw data processed with different software (versions)
- acquired with different nominal density etc.

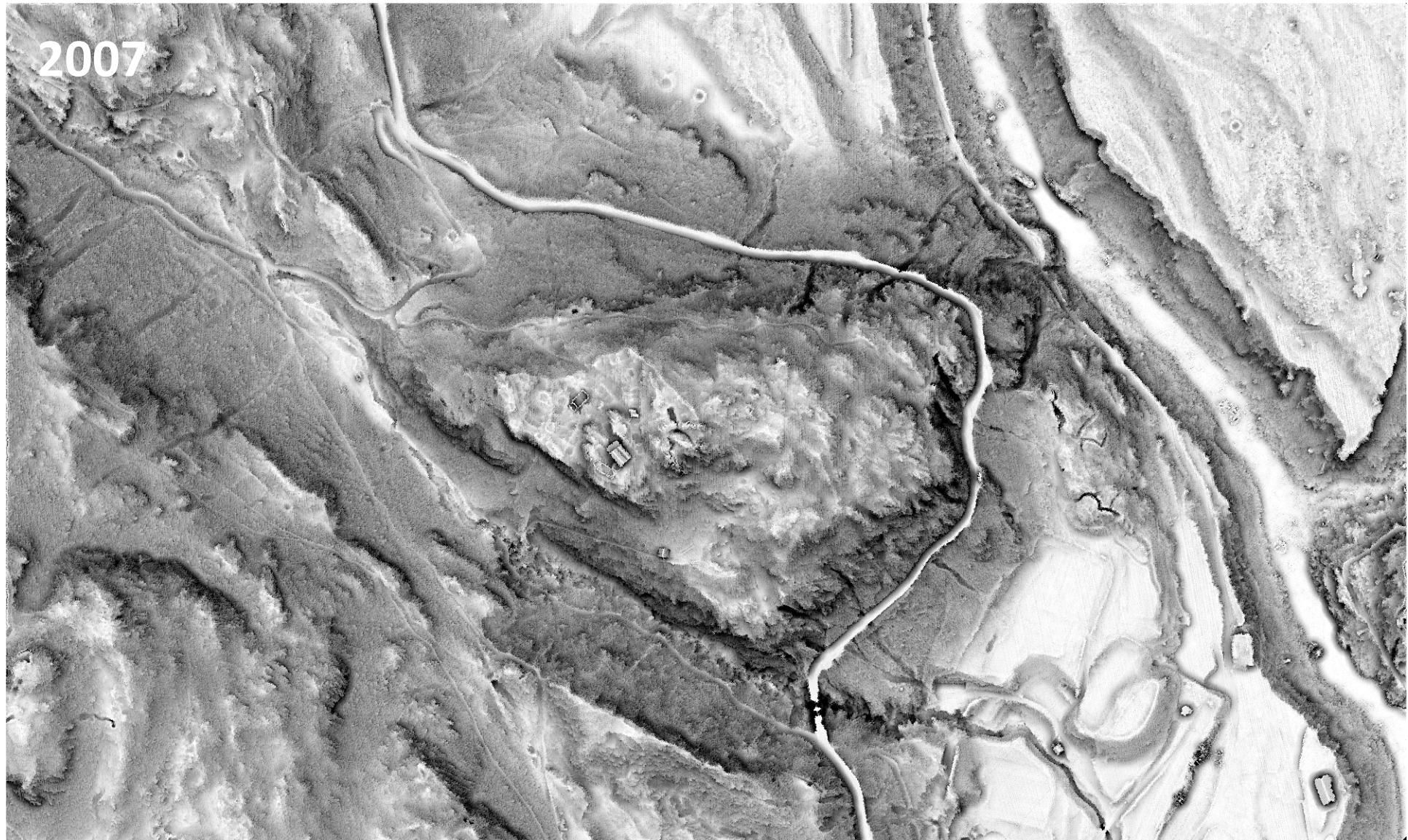
## Homogenization – multi-temporal data

- direct point cloud comparison rarely makes sense
- re-process as much as you can (usually from the point cloud classification onwards) using exactly the same parameters for both (all) datasets
- compare DEM's/DSM's
  - simple in principle, demanding in practice

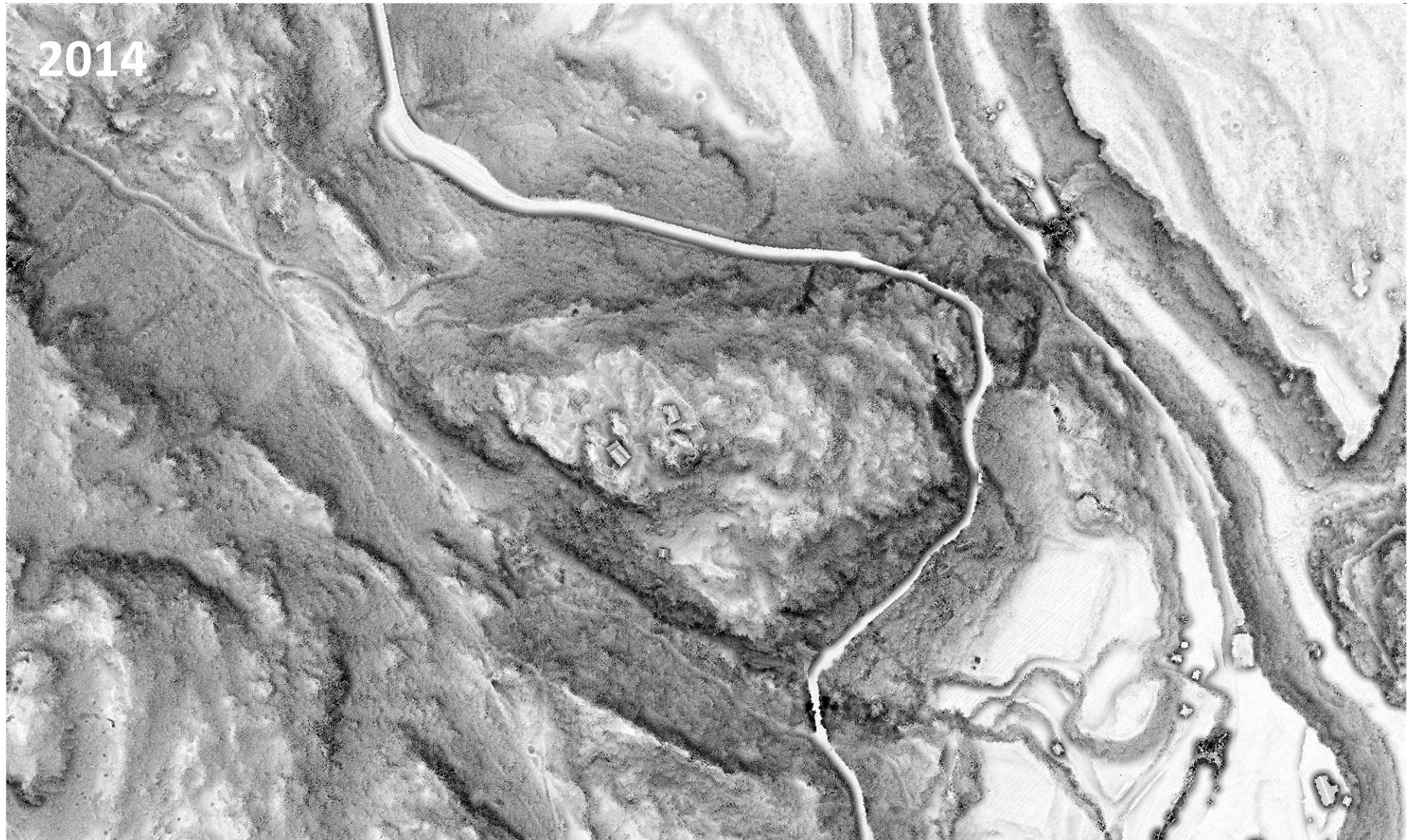
## Homogenization – multi-temporal data

- subtract younger from older, obviously
- for multi-temporal - 3 or more time slices - many additional techniques are available (c.f. methods for multi-spectral satellite imagery)

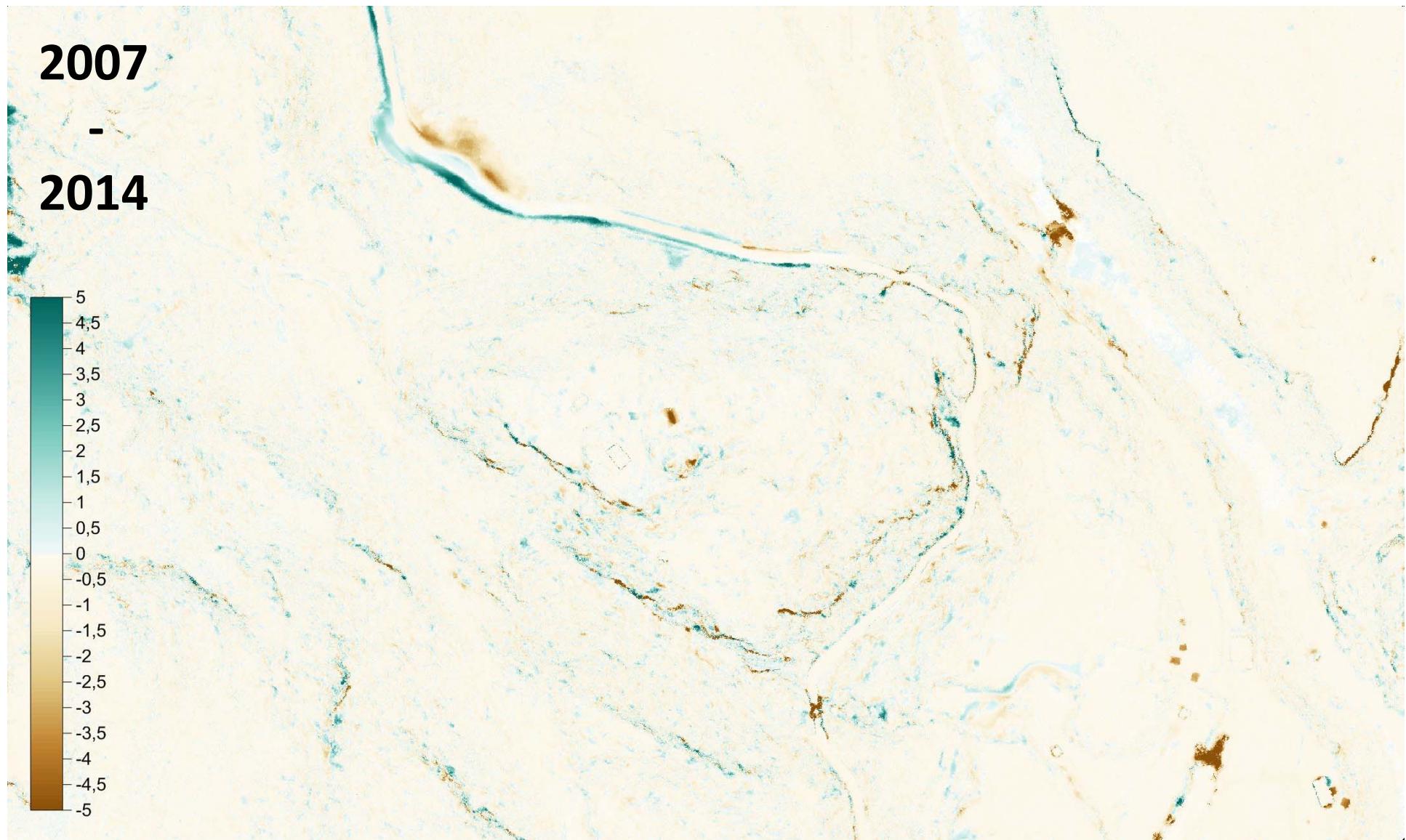
# Homogenization – multi-temporal data



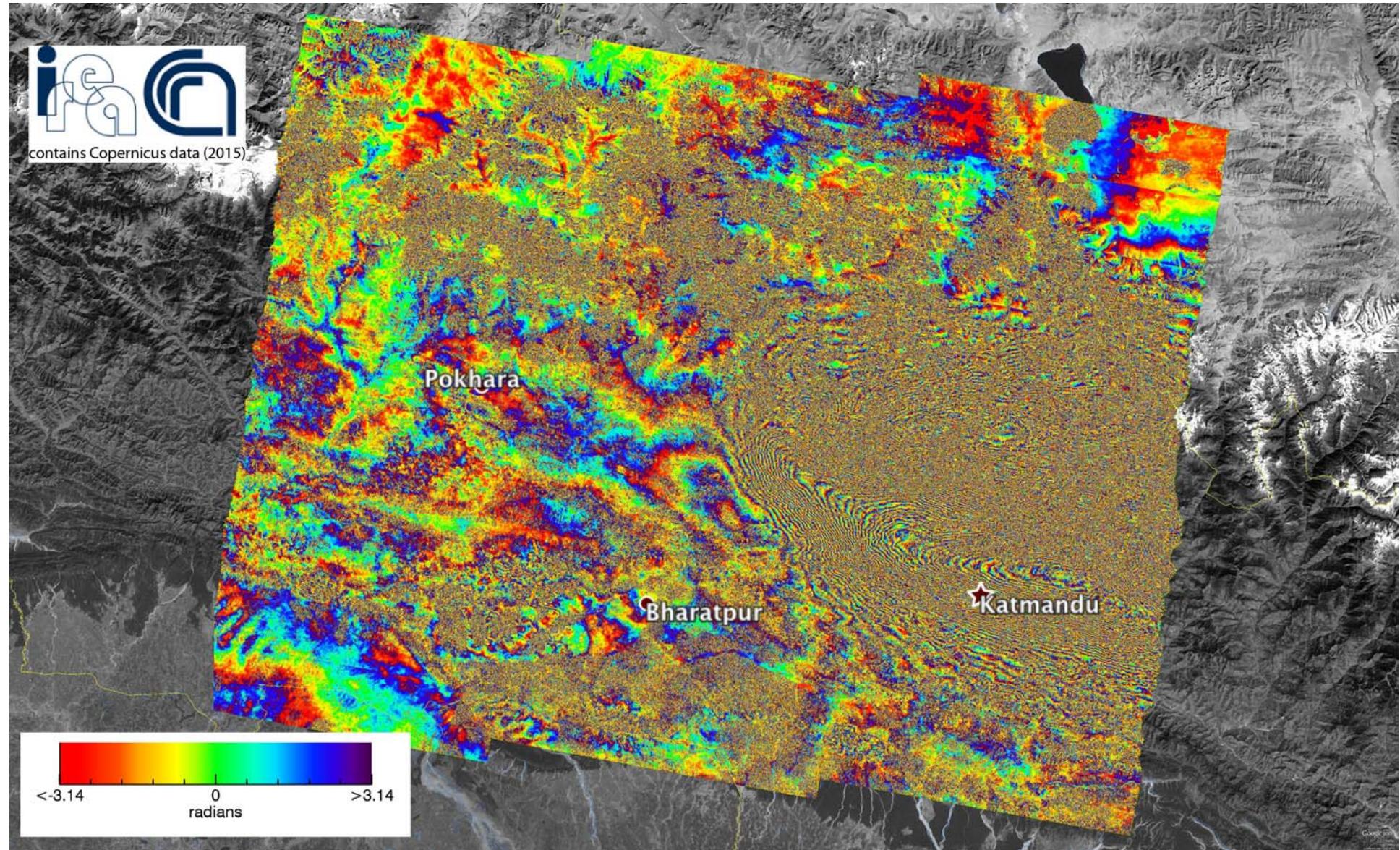
# Homogenization – multi-temporal data



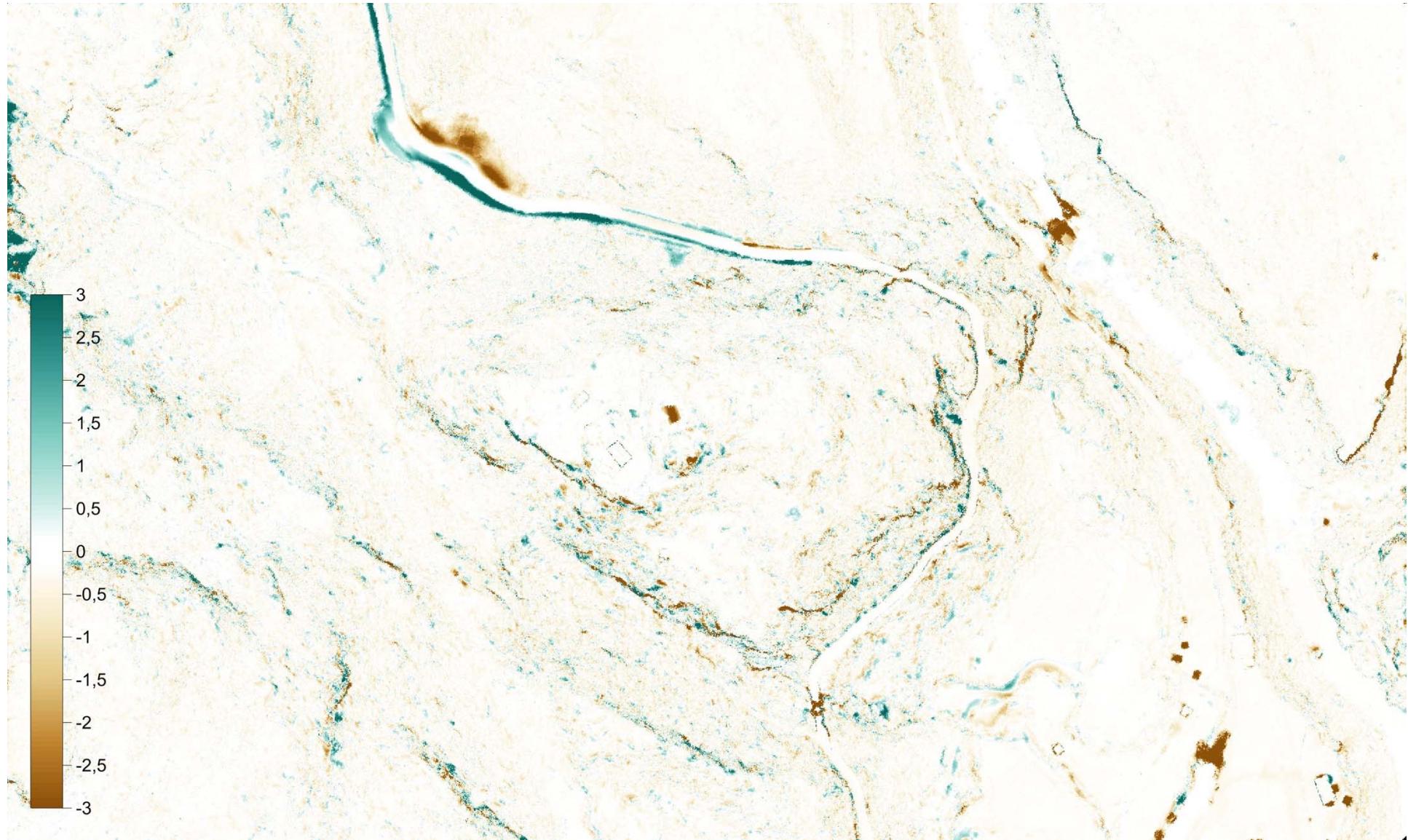
# Homogenization – multi-temporal data



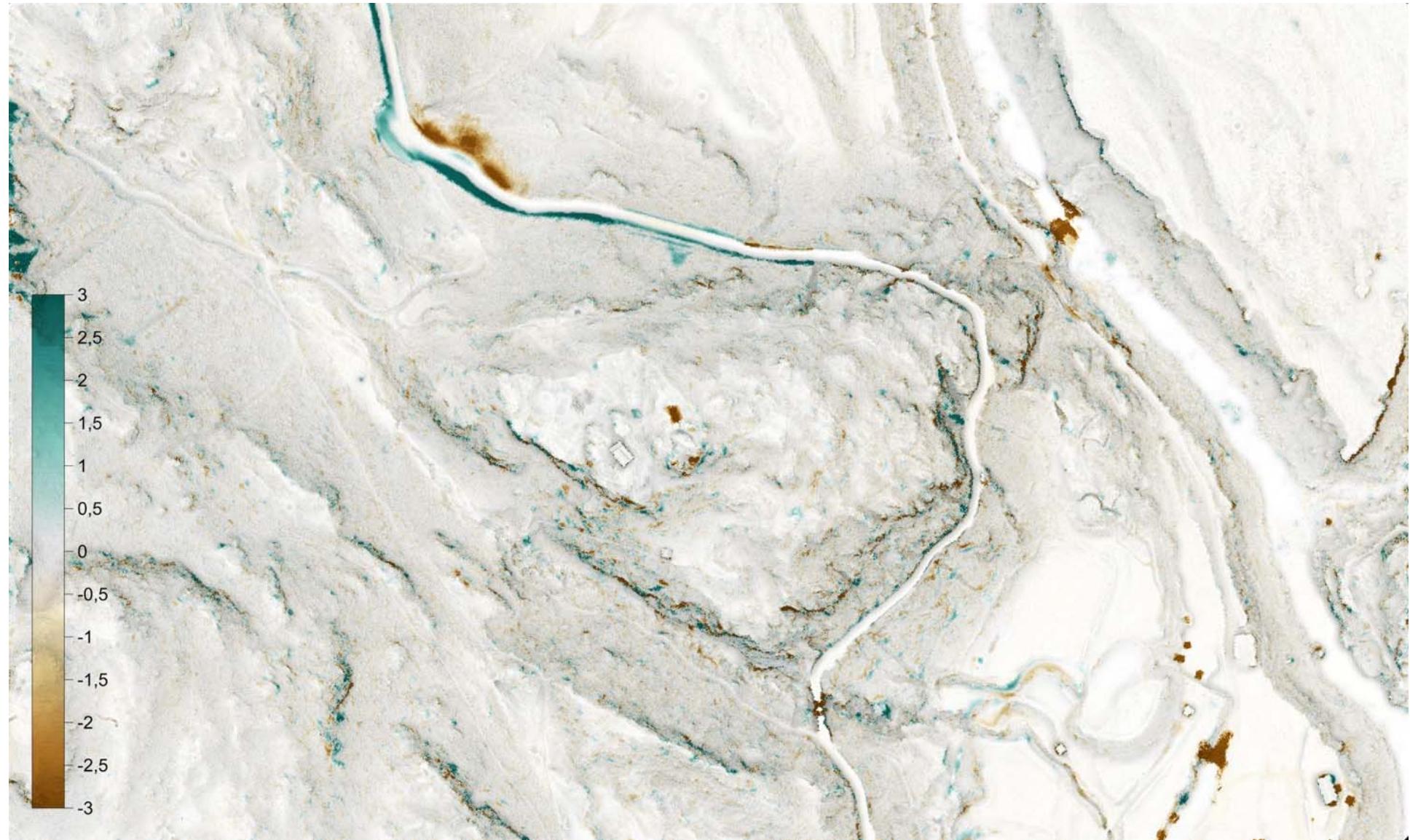
# Homogenization – Multi-temporal data



# Homogenization – Multi-temporal data



# Homogenization – Multi-temporal data



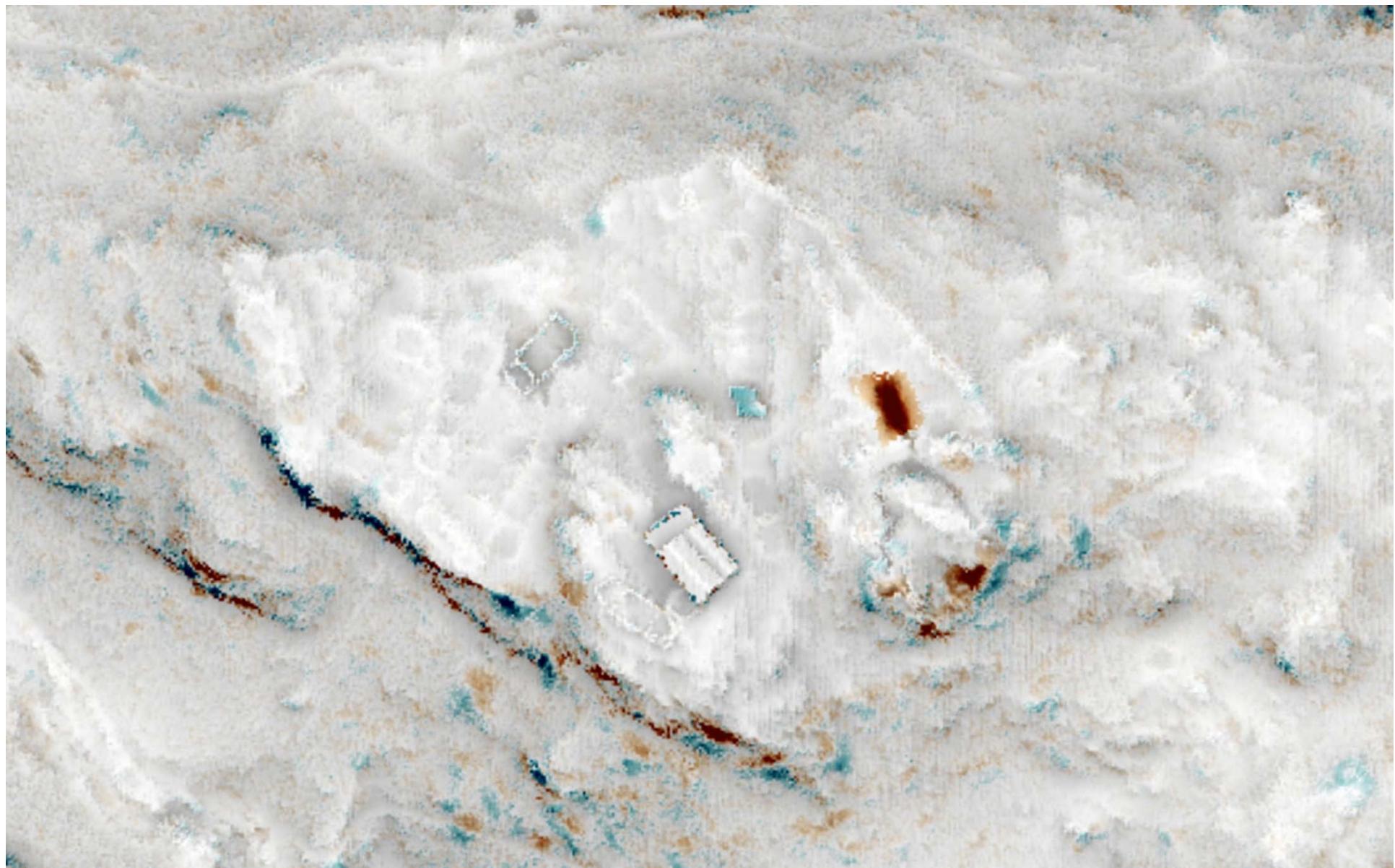
### **3. Data Homogenization**

practice

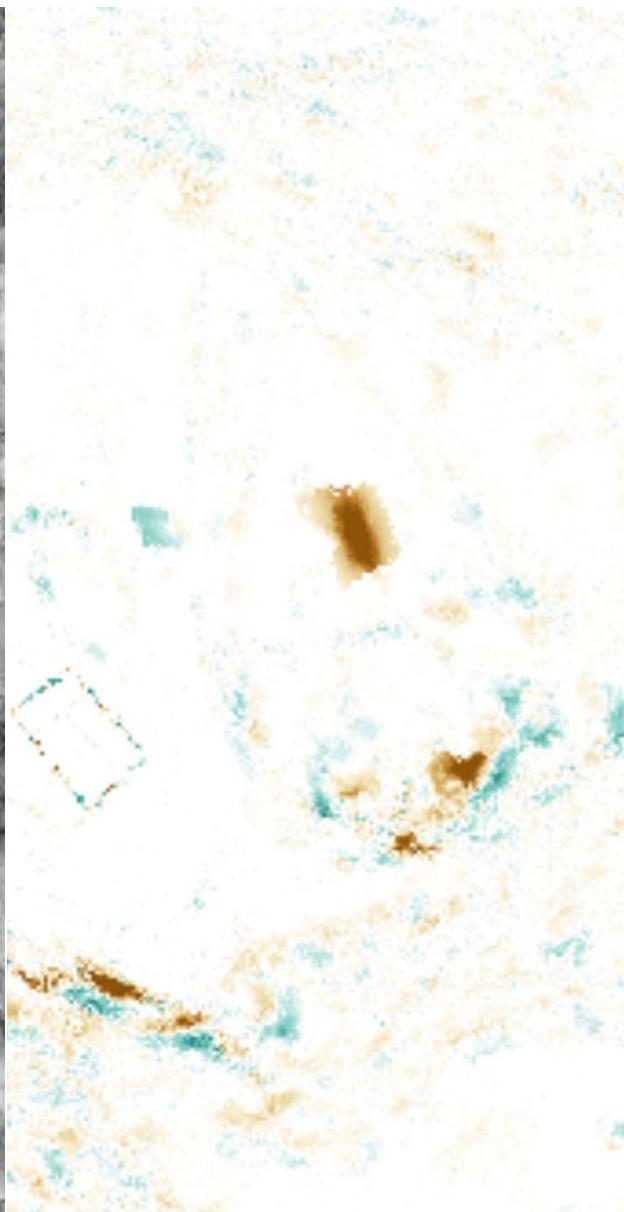
# Practice – multi-temporal data

- Goal:
  - compare disparate data
- Files:
  - dem2007.tif
  - dem2014.dem
  - svf2007.tif
  - svf2014.tif
  - Diff\_Map.jpg (use in case of emergency)
- Task:
  - create and visualize the difference map
  - find information meaningful for archaeology

## Homogenization – Multi-temporal data



# Homogenization – multi-temporal data



## Homogenization – multi-temporal data

Kerin hillfort (Slovenia)  
2014



# Homogenization – Multi-temporal data



## Homogenization – multi-temporal data

Kerin hillfort (Slovenia)  
2014



## Homogenization – Multi-temporal data

- More often than not there is no archaeologically meaningful information in the comparison of the multi-temporal ALS derived DEM
- No. 1 reason in practice : lack of precision
- Solution: harmonization (raw data processing), (much) better data
- Take home message: comparing 2 lidar (ALS) datasets will rarely yield archaeologically meaningful results unless acquired specifically for this task or in cases of big changes that are observable even without the comparison

## **4. Data Archiving**

presentation & practice

# Archiving Data – What to keep?

- Original data (the data you received, e.g. classified point cloud) transcribed in most sensible format (e.g. LAZ)
- Final version(s) of point cloud data in - LAZ
- Final version(s) of raster data - GeoTIFF
- Visualizations that you find most useful (usually 2 or 3) + HS - GeoTIFF
- Optionally (but highly recommendable): ALL of the final maps – TIFF, PDF, ...
- METADATA - TXT

# Archiving Data – Practical Advice

- Be mindful of the back-up's and whatnot
- The best storage is still very cheap compared to data acquisition and processing – MORE is MORE
- Follow the usual good practices for digital archiving (e.g. <http://guides.archaeologydataservice.ac.uk>)
- SHARE, SHARE, SHARE! If you didn't start working on that article in 2 years, chances are you never will. But someone else might! Think of it this way: share the data and you might get the co-author credit; hoard the data and you get diddly-squat!



don't be a  
**DATA SQUIRREL**

## **5. Final words**

## Final words

- Know your data!
- Record and publish metadata.
- Archive your data. Data dump IS NOT data archive.
- Share your data!

## Final words

- Know your data!
- Record and publish metadata.
- Archive your data. Data dump IS NOT data archive.
- Share your data!
- If you liked this workshop buy us a beer the next time we meet😊

